

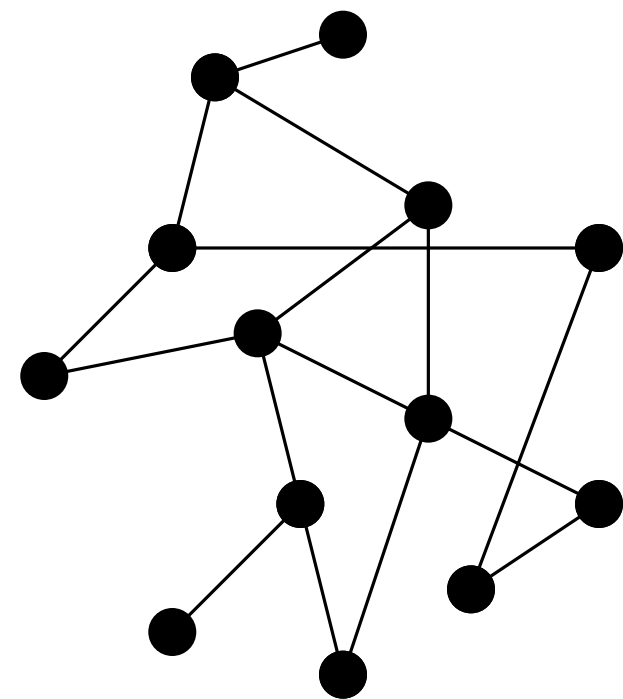
Towards infinite PCSP: a dichotomy for monochromatic cliques

Demian Banakh & Alexey Barsukov & Tamio-Vesa Nakajima

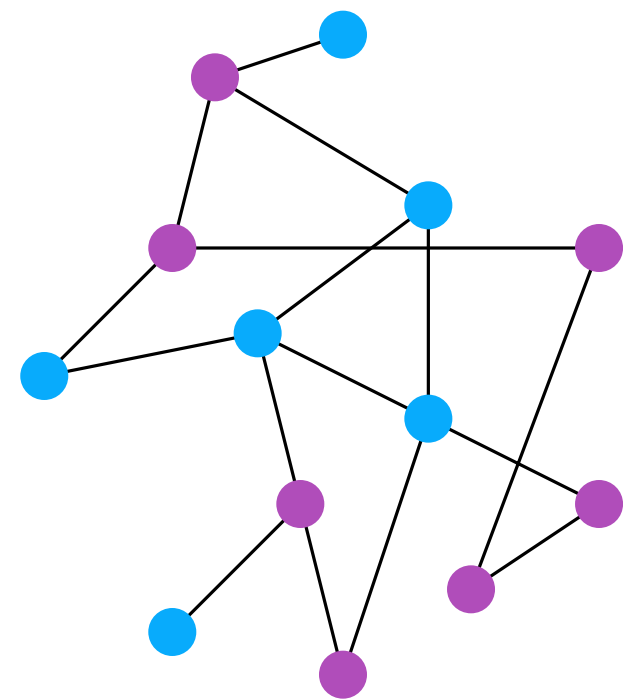
This research was funded in whole or in part by the National Science Centre, Poland under the Weave-UNISONO call in the Weave programme 2021/03/Y/ST6/0017 and the Polish National Agency for Academic Exchange under Zawacka programme.

Funded by the European Union (ERC, POCOCOP, 101071674). Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Council Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

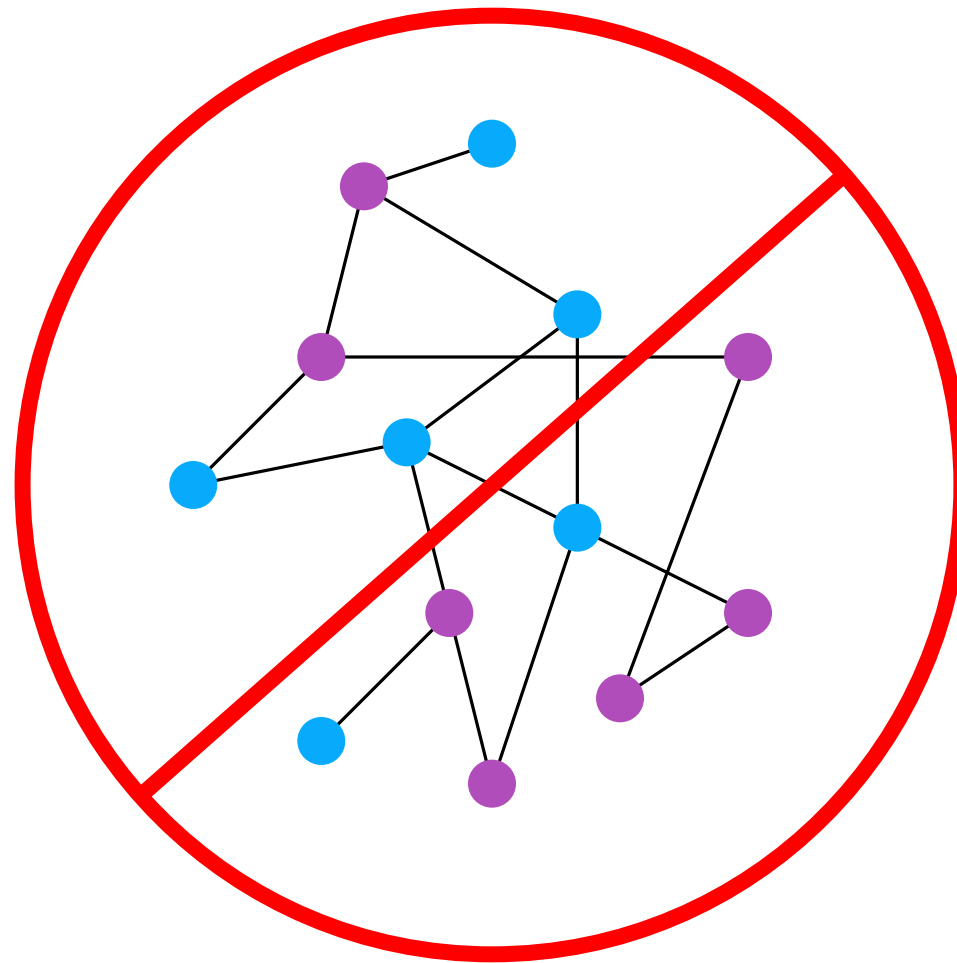
Coloring graphs avoiding monochromatic triangles



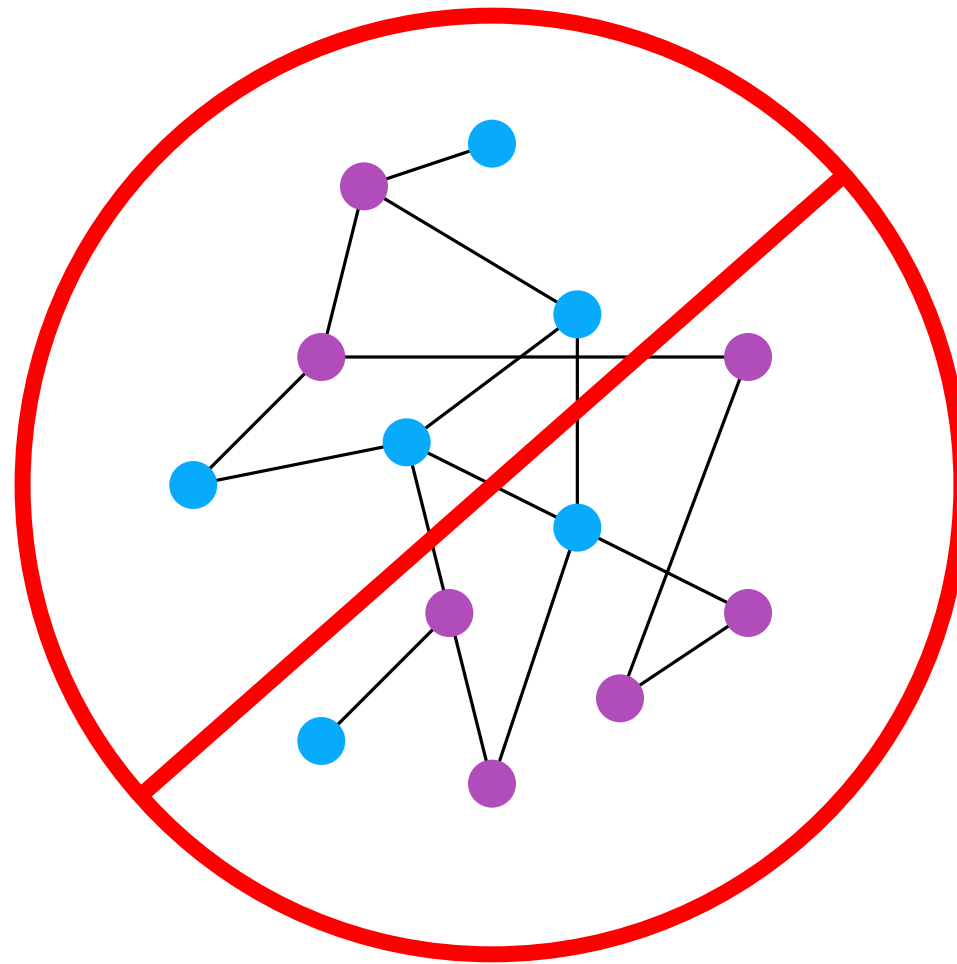
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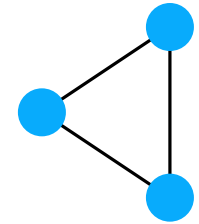
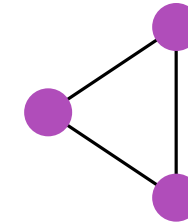


Coloring graphs avoiding monochromatic triangles



Forbidden patterns

find a coloring that is free of



Coloring graphs avoiding monochromatic triangles

MMSNP logic

[FV'98]

$\exists A, B \forall x, y, z :$

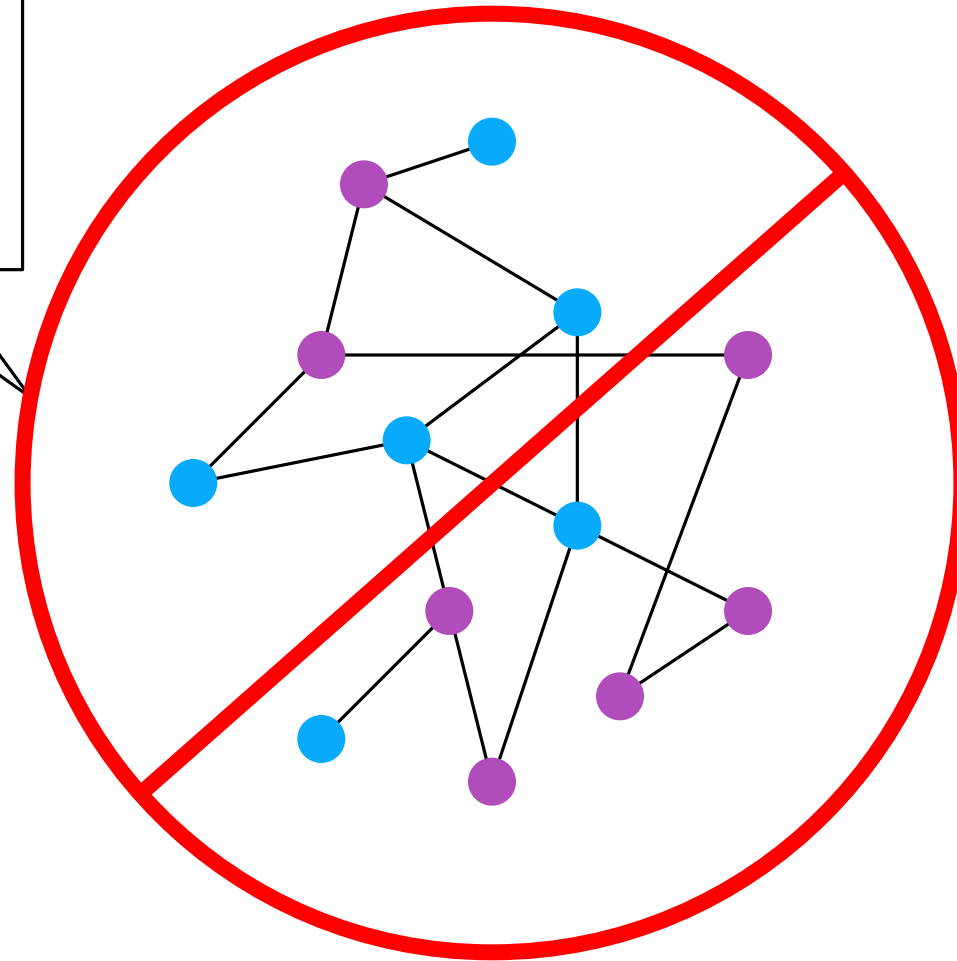
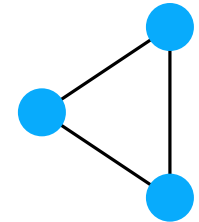
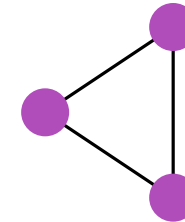
$A(x) \iff \neg B(x)$

$\neg(A(x) \wedge A(y) \wedge A(z) \wedge K_3(x, y, z))$

$\neg(B(x) \wedge B(y) \wedge B(z) \wedge K_3(x, y, z))$

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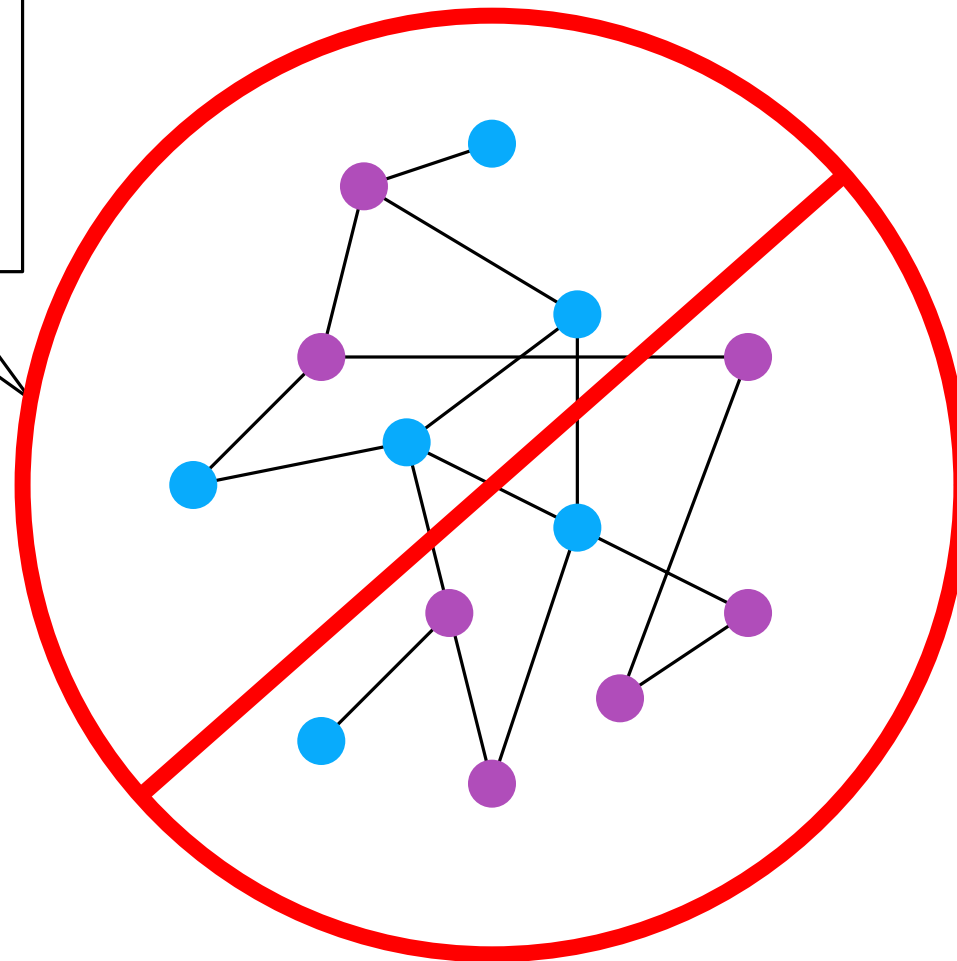
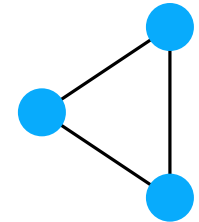
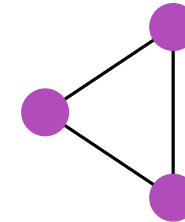
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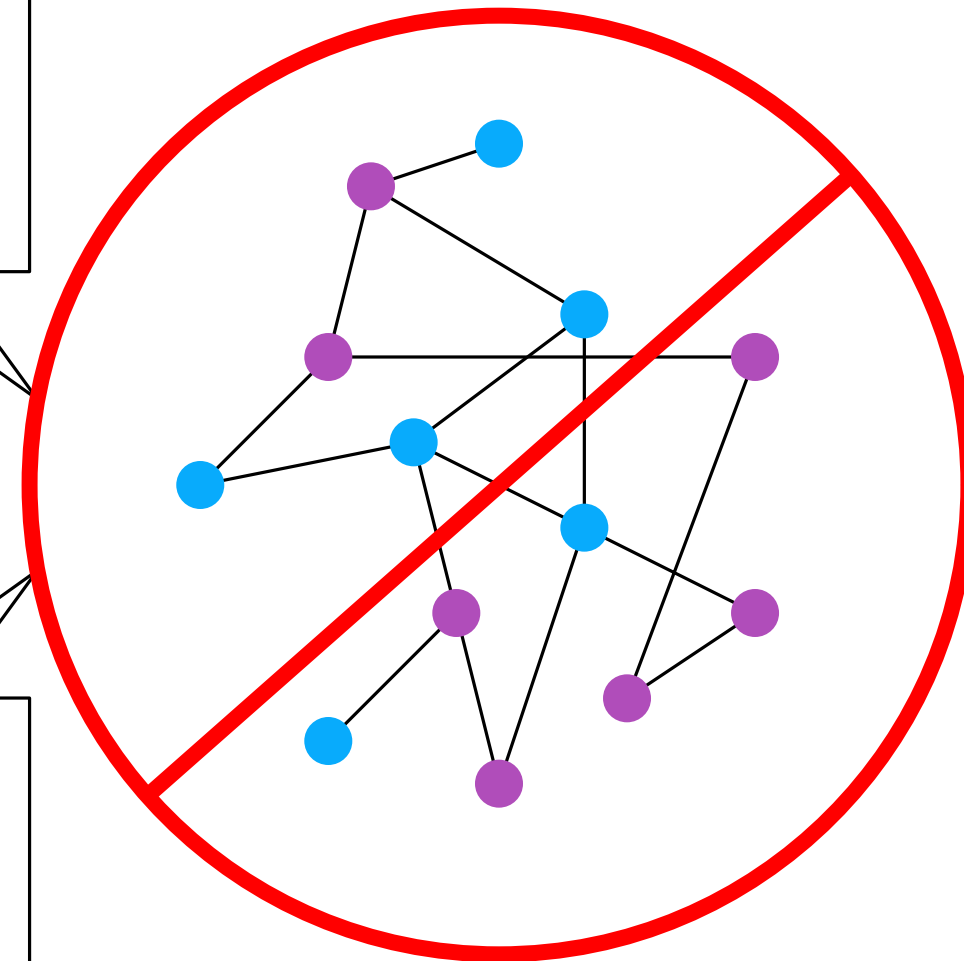
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[MS'07]

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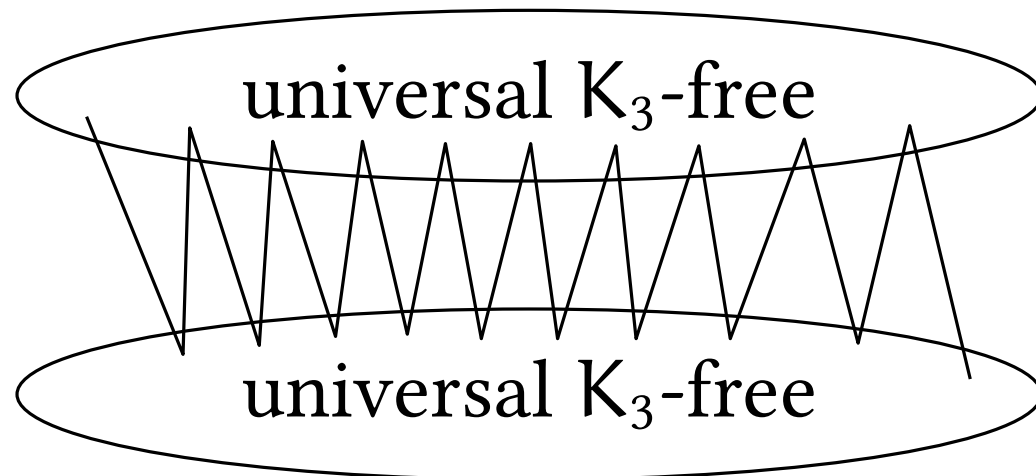
find a coloring that is free of



Infinite-domain CSP

universal K_3 -free

universal K_3 -free



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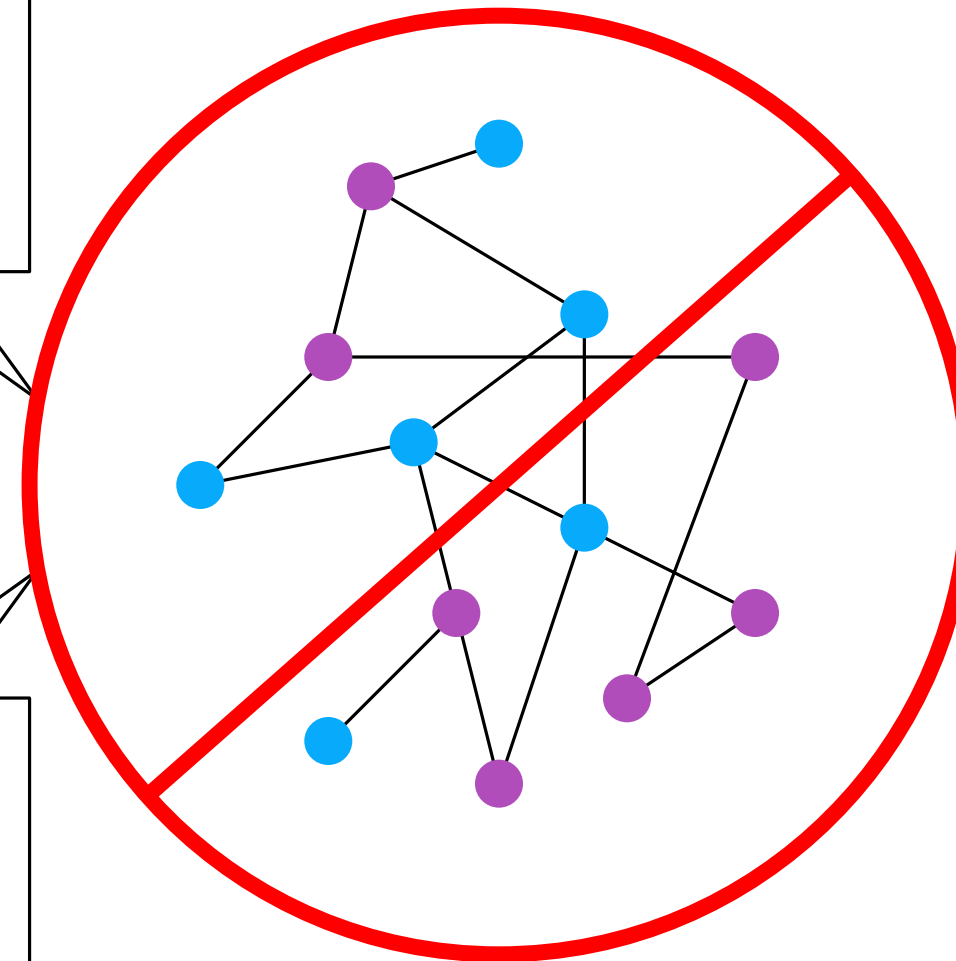
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Forbidden patterns

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Infinite-domain CSP

universal K_3 -free

universal K_3 -free

Finite-domain CSP

not expressible

but poly-time equivalent to one

[FV'98, Kun'13]

(finite-domain) CSP

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CSP(R) where $R \subseteq A^r$

IN: r -uniform hypergraph $H \subseteq X^r$

OUT: homomorphism $H \rightarrow R$

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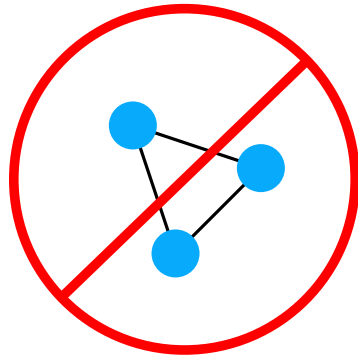
Example: NAE-3-SAT $\equiv$
 $\text{CSP}(\{\textcolor{violet}{0}, \textcolor{teal}{1}\}^3 \setminus \{\textcolor{violet}{0}\textcolor{violet}{0}\textcolor{violet}{0}, \textcolor{teal}{1}\textcolor{teal}{1}\textcolor{teal}{1}\}) \equiv$
proper 2-coloring 3-uniform hypergraphs

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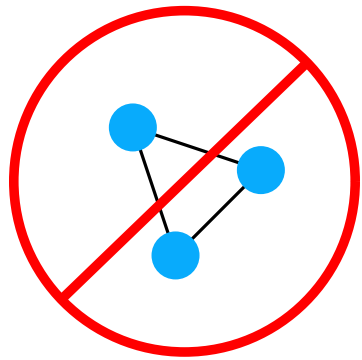
$\equiv_{\log}$

$\text{CSP}(\text{NAE}_3^{(2)})$

Example: $\text{NAE-3-SAT} \equiv \text{CSP}(\{0, 1\}^3 \setminus \{000, 111\}) \equiv$
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$$G = (V; E)$$

$\equiv_{\log}$

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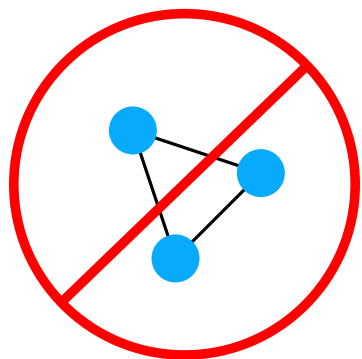
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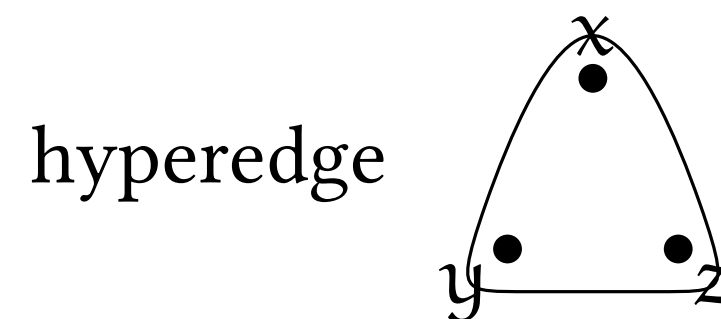
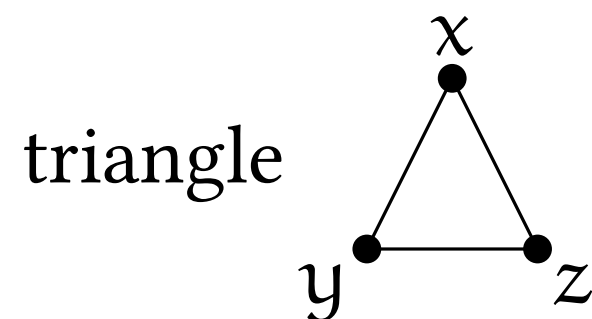


$\equiv_{\log}$

$\text{CSP}(\text{NAE}_3^{(2)})$

$G = (V; E)$

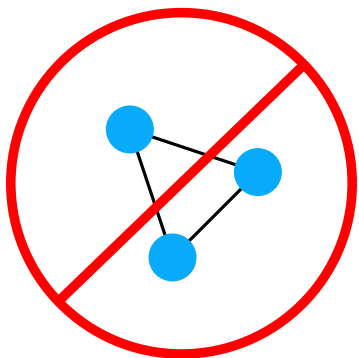
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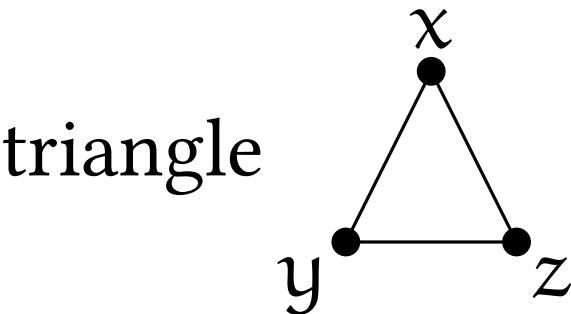


$\equiv_{\log}$

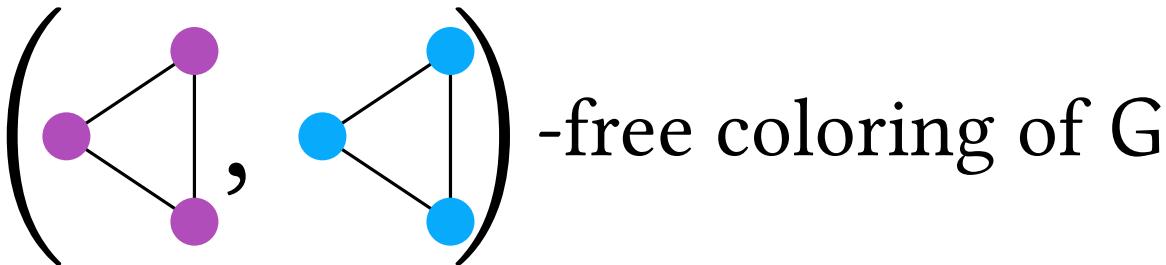
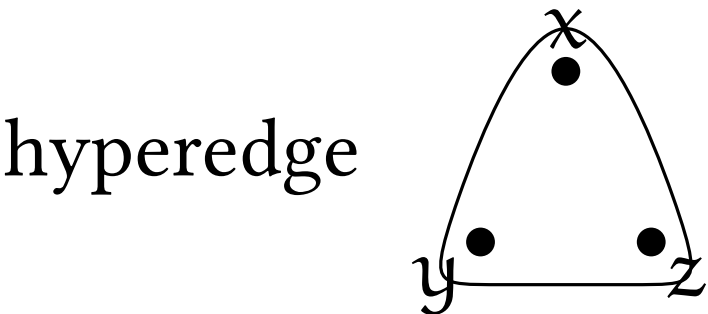
$\text{CSP}(\text{NAE}_3^{(2)})$

$G = (V; E)$

$H = (V; E')$



$\longleftrightarrow$



-free coloring of G

$=^*$

proper 2-coloring of H

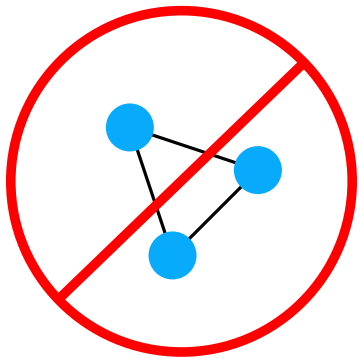
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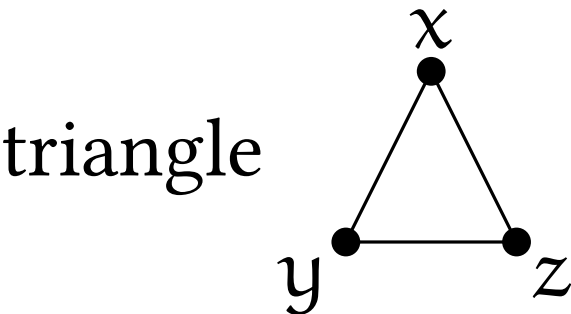


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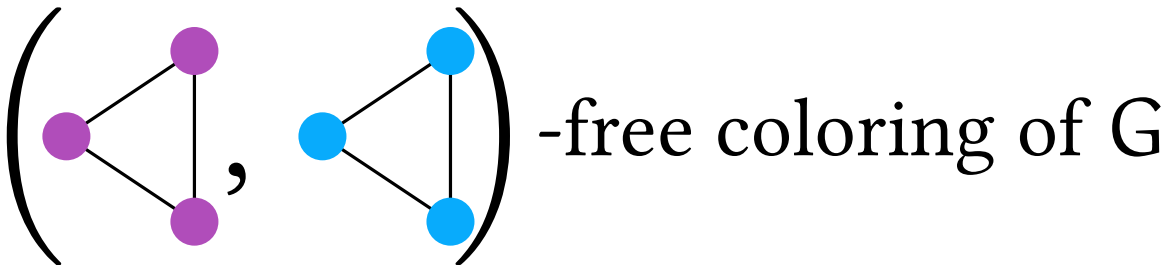
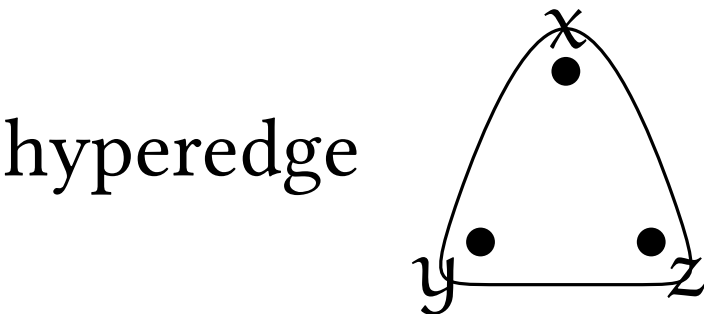
$\text{CSP}(\text{NAE}_3^{(2)})$ NP-complete

$G = (V; E)$

$H = (V; E')$



$\longleftrightarrow$



$=^*$

proper 2-coloring of H

Promise setting

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Suppose G has $\left(\begin{array}{c} \text{purple triangle} \\ \text{blue triangle} \end{array} \right)$ -free coloring. hard to find

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Suppose G has $\left(\begin{array}{c} \text{triangle} \\ \text{triangle} \end{array} \right)$ -free coloring.

hard to find

Find $\left(\begin{array}{c} \text{K}_4 \\ \text{K}_4 \end{array} \right)$ -free coloring.

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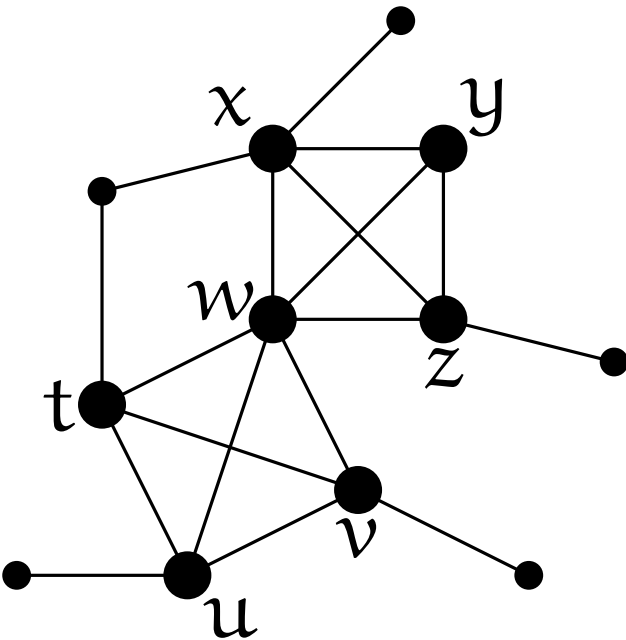
Possible in polynomial time!

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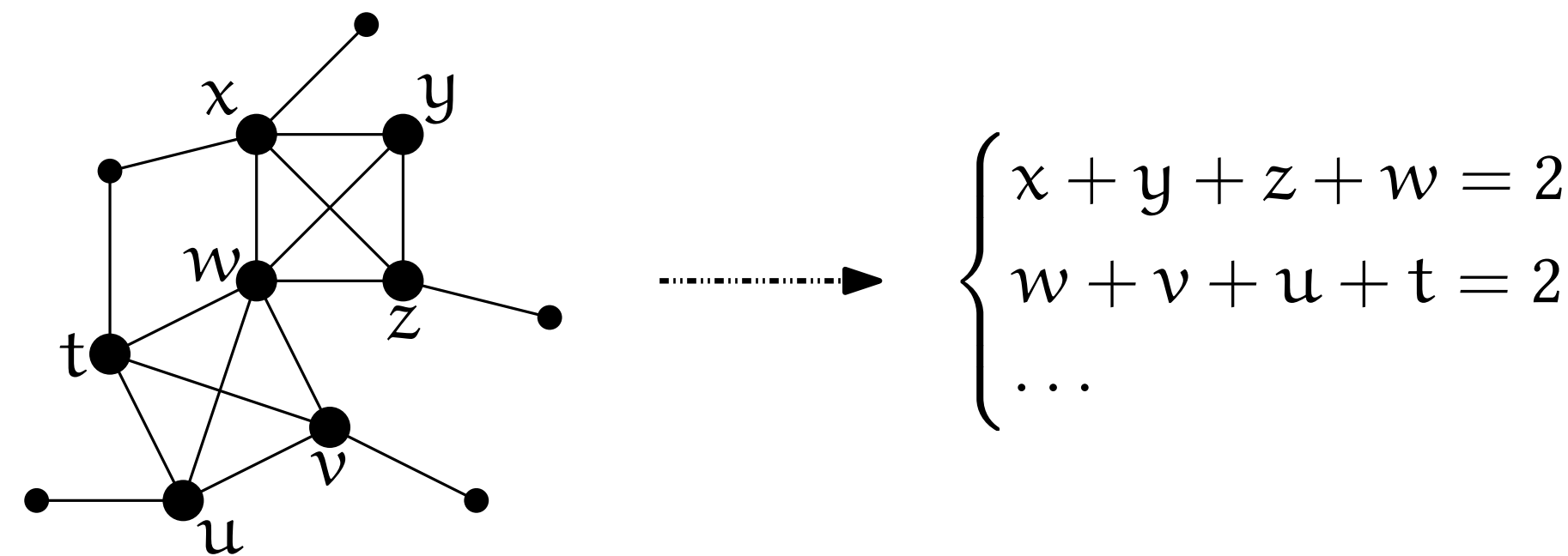


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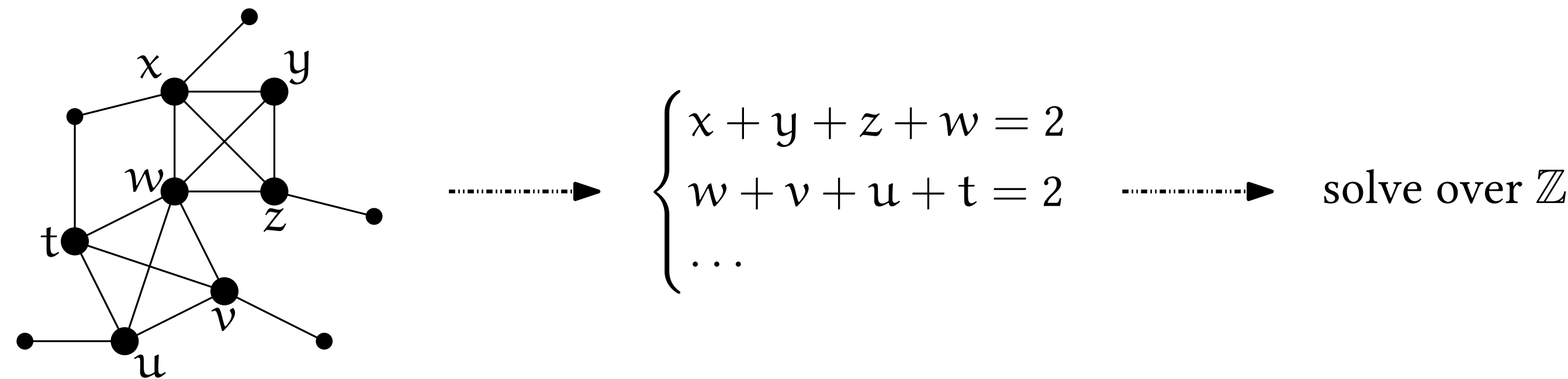


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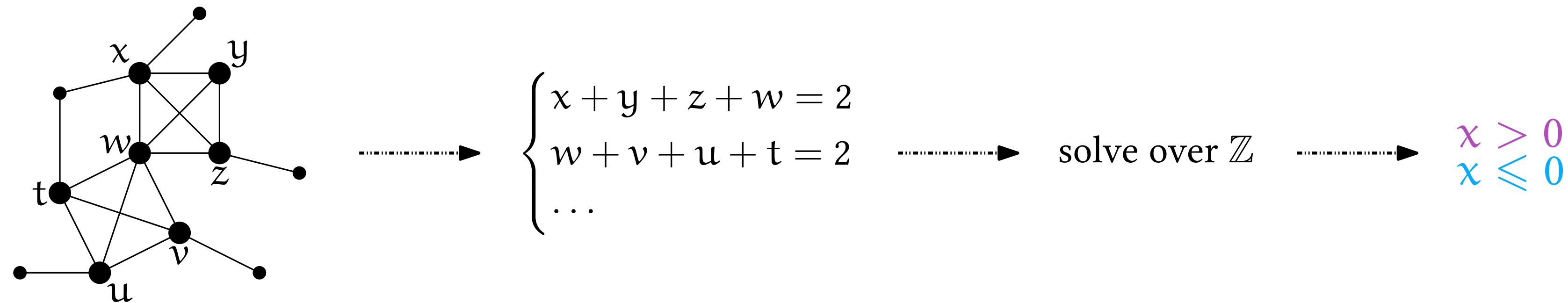


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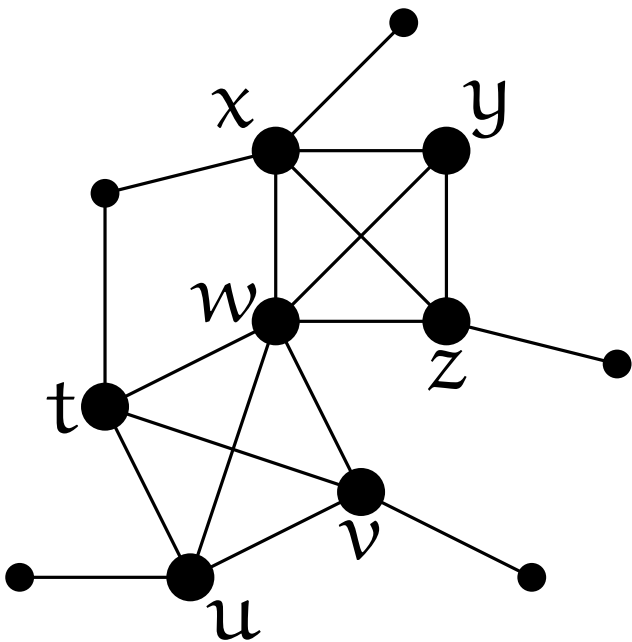
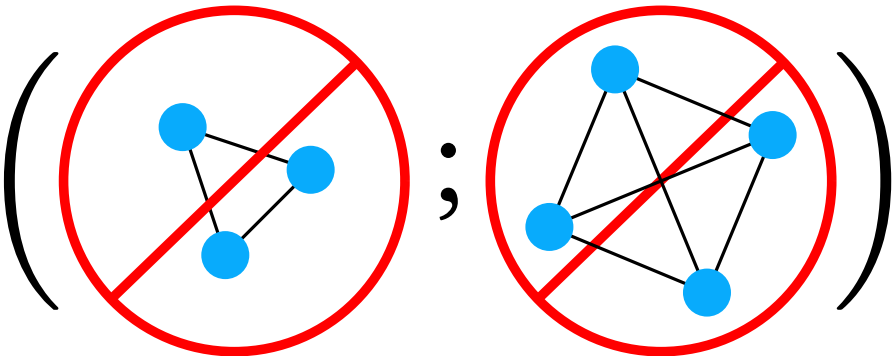


Promise setting

Suppose G has $\left(\begin{array}{c} \text{purple triangle} \\ \text{blue triangle} \end{array} \right)$ -free coloring. hard to find

Find $\left(\begin{array}{c} \text{purple square} \\ \text{blue square} \end{array} \right)$ -free coloring.

Possible in polynomial time!



$\left\{ \begin{array}{l} x + y + z + w = 2 \\ w + v + u + t = 2 \\ \dots \end{array} \right. \longrightarrow \text{solve over } \mathbb{Z} \longrightarrow \begin{array}{l} x > 0 \\ x \leq 0 \end{array}$

(finite-domain) Promise CSP

PCSP(R; S) where $R \subseteq A^r$ and $S \subseteq B^r$

IN: r -uniform hypergraph $H \subseteq X^r$

Promise: $H \rightarrow R$

OUT: homomorphism $H \rightarrow S$

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Examples:

$\text{PCSP}(K_k; K_\ell) \equiv$ Approximate Graph Coloring

$\text{PCSP}(\{100, 010, 001\}; \{0, 1\}^3 \setminus \{000, 111\})$ also
known as $\text{PCSP}(\text{1in3}; \text{NAE}_3^{(2)})$

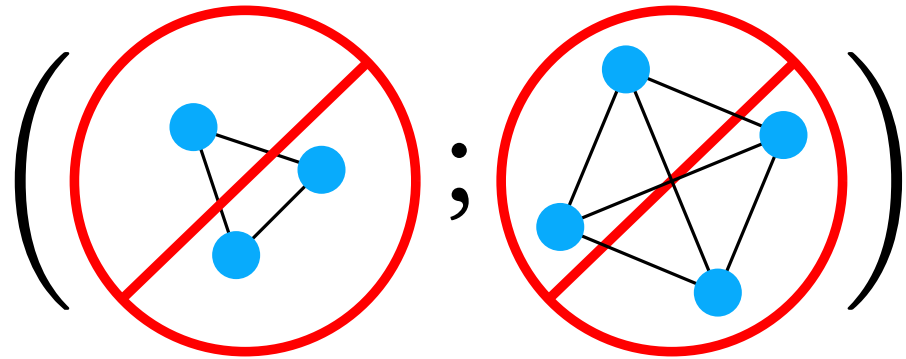
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$\equiv_{\log}$

PCSP(**2in4**; $\text{NAE}_4^{(2)}$)

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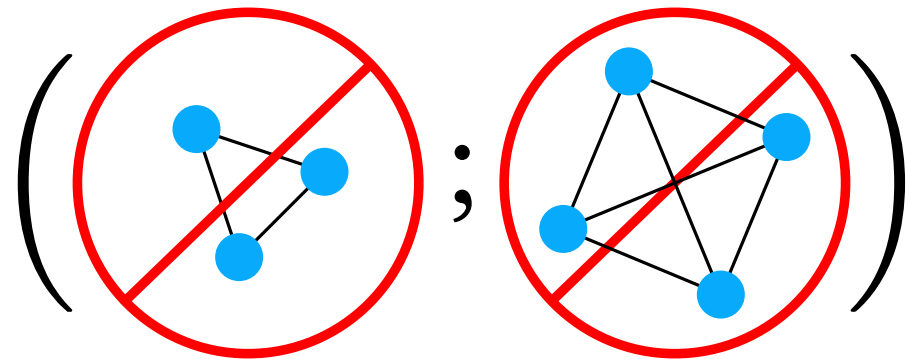
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$G = (V; E)$

$\equiv_{\log}$

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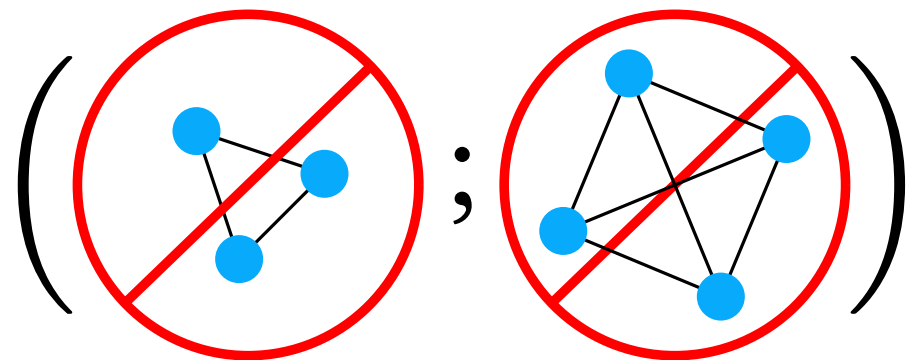
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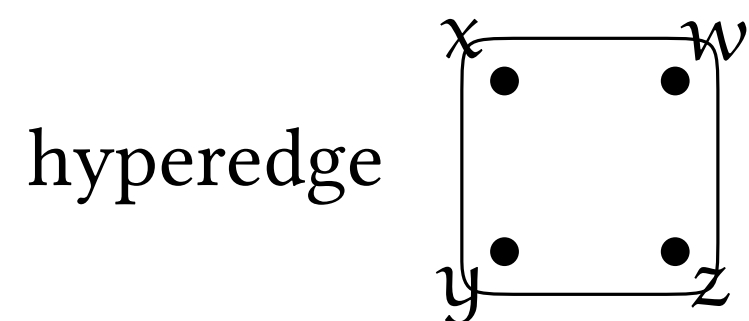
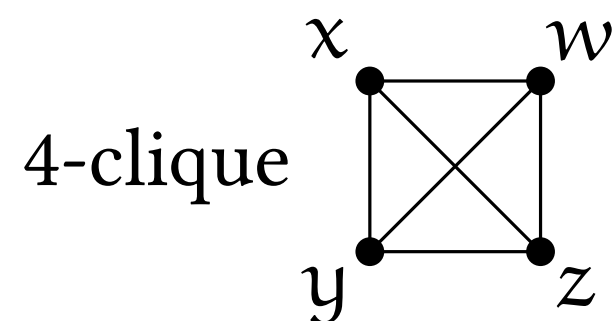


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PCSP(**2in4**; $\text{NAE}_4^{(2)}$)

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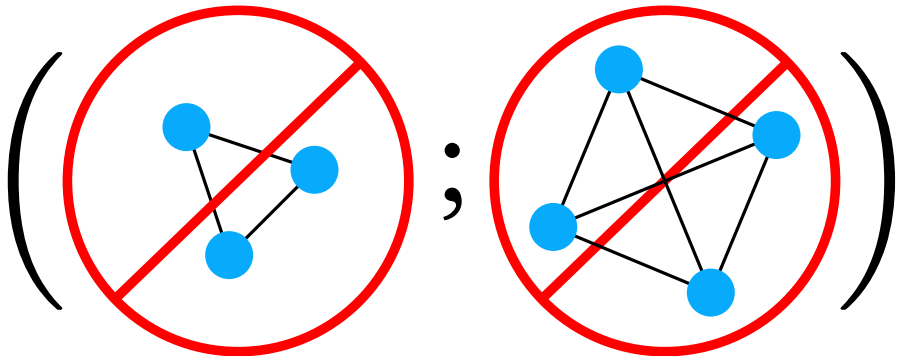
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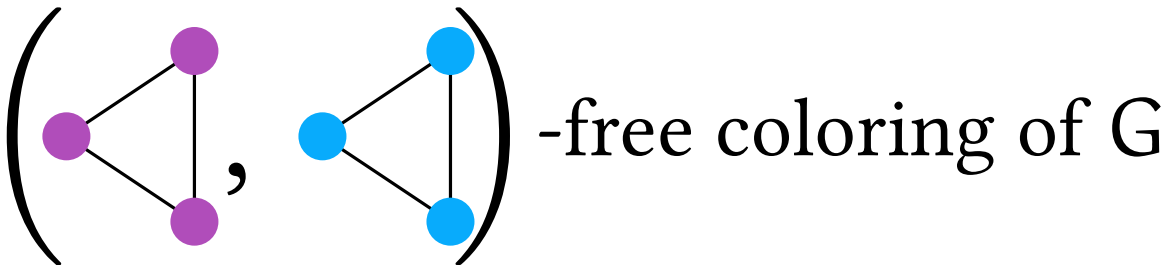
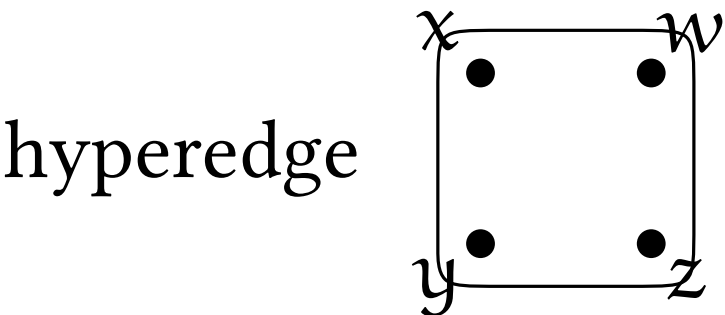
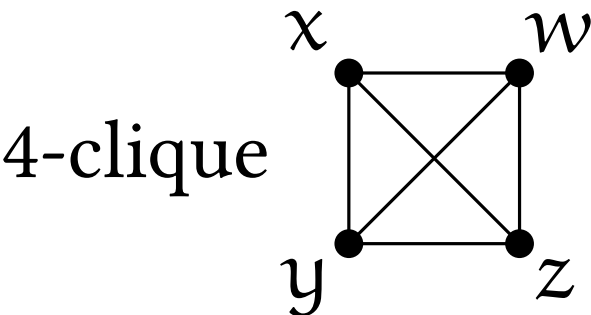


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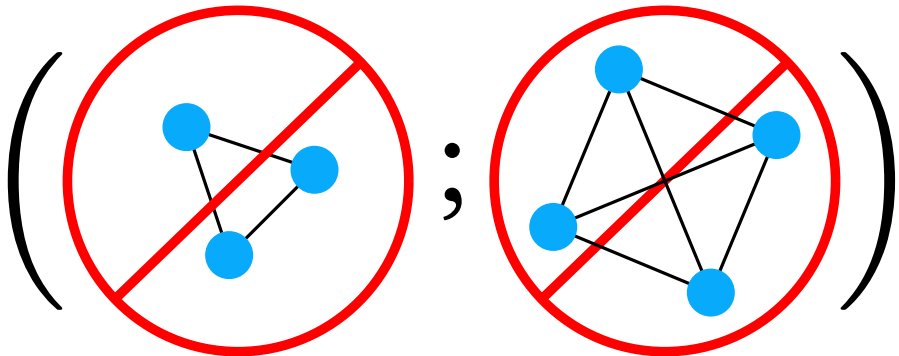


$=^*$

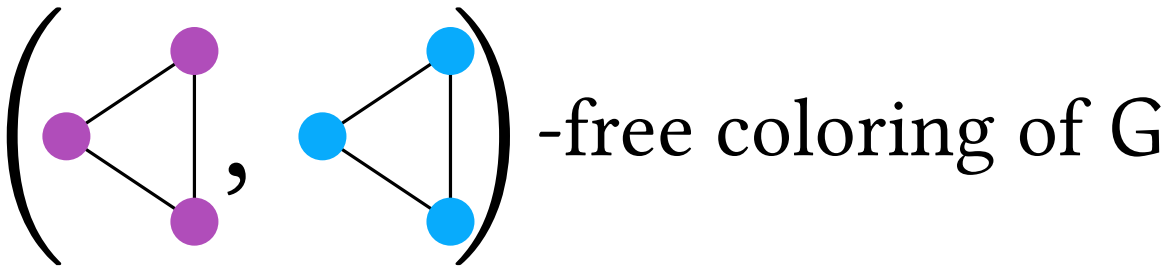
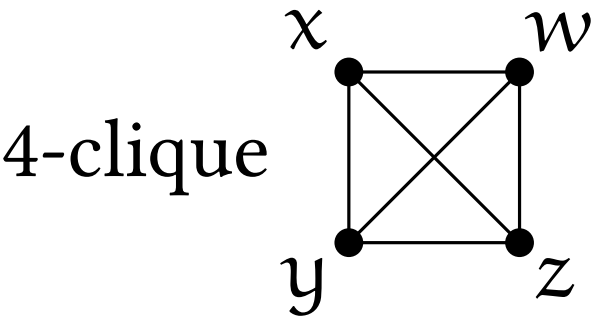
2in4-coloring of H

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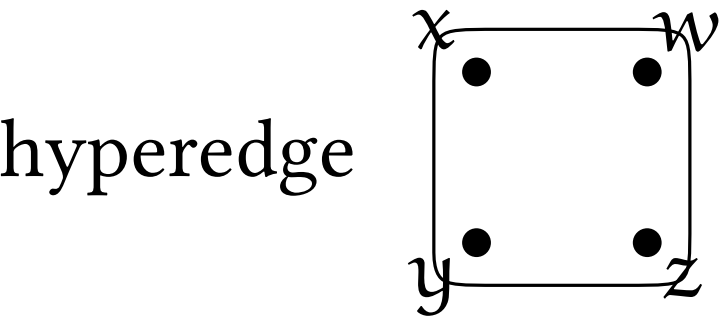


$\equiv_{\log}$

$\text{PCSP}(\text{2in4}; \text{NAE}_4^{(2)})$

tractable

$H = (V; E')$



$\equiv^*$

2in4-coloring of H

More generally

of colors

$$\left(K_k^{(c)} ; K_\ell^{(d)} \right)$$

More generally

$$\left(\textcircled{K_k^{(c)}} ; \textcircled{K_\ell^{(d)}} \right)$$

of colors

$\equiv_{\log}$

$$\text{PCSP}(\mathbf{R}_{< k:\ell}^{(c)}; \mathbf{R}_{< \ell:\ell}^{(d)})$$

More generally

$$\left(\overset{\text{\# of colors}}{\underset{\text{\# of colors}}{K_k^{(c)}}}; K_\ell^{(d)} \right)$$

$\equiv_{\log}$

$$\{ (a_1, \dots, a_\ell) \in [c]^\ell \mid \forall \mathbf{a} \#\{i : a_i = \mathbf{a}\} < k \}$$

$\parallel$

$$\text{PCSP}(R_{<k:\ell}^{(c)}; R_{<\ell:\ell}^{(d)})$$

More generally

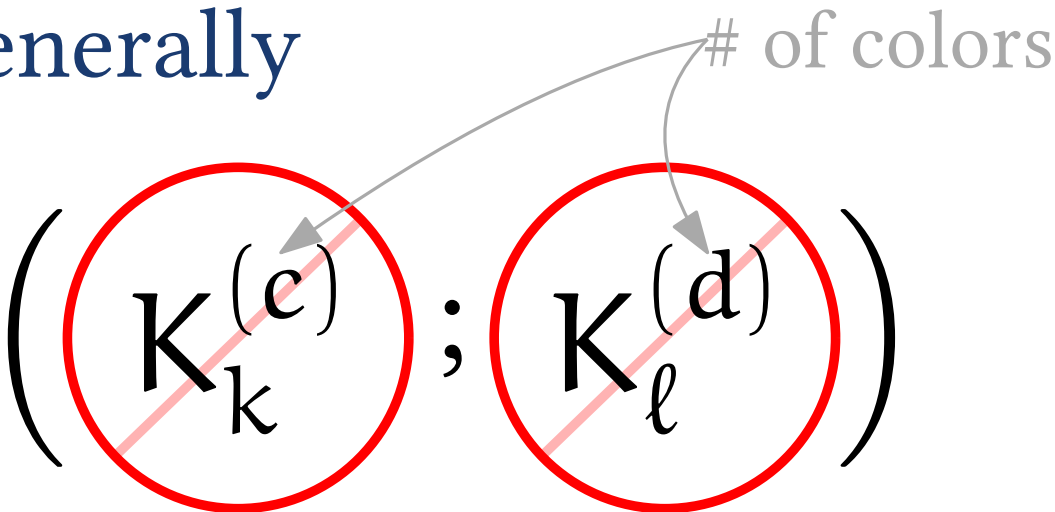
$\left(K_k^{(c)} ; K_\ell^{(d)} \right)$

of colors

$$\{ (a_1, \dots, a_\ell) \in [c]^\ell \mid \forall \mathbf{a} \#\{i : a_i = \mathbf{a}\} < k \}$$
$$\equiv_{\log} \text{PCSP}(R_{<k:\ell}^{(c)} ; R_{<\ell:\ell}^{(d)})$$

NAE_ℓ^(d)

More generally



$$G = (V; E)$$

$$\{ (a_1, \dots, a_\ell) \in [c]^\ell \mid \forall \textcolor{brown}{a} \#\{i : a_i = \textcolor{brown}{a}\} < k \}$$

$\parallel$

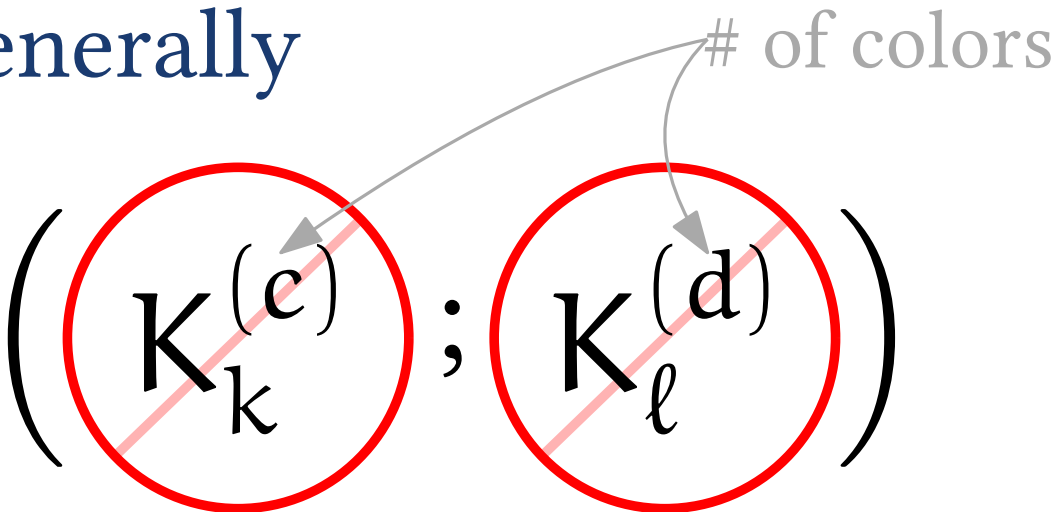
$$\equiv_{\log}$$

$$\text{PCSP}(R_{<k:\ell}^{(c)}; R_{<\ell:\ell}^{(d)})$$

$\text{NAE}_\ell^{(d)}$

$$H = (V; E')$$

More generally



$$G = (V; E)$$

ℓ -clique $\{x_1, \dots, x_\ell\}$

$$\equiv_{\log}$$

$$\{ (a_1, \dots, a_\ell) \in [c]^\ell \mid \forall \textcolor{brown}{a} \#\{i : a_i = \textcolor{brown}{a}\} < k \}$$

$\parallel$

$$\text{PCSP}(R_{<k:\ell}^{(c)}; R_{<\ell:\ell}^{(d)})$$

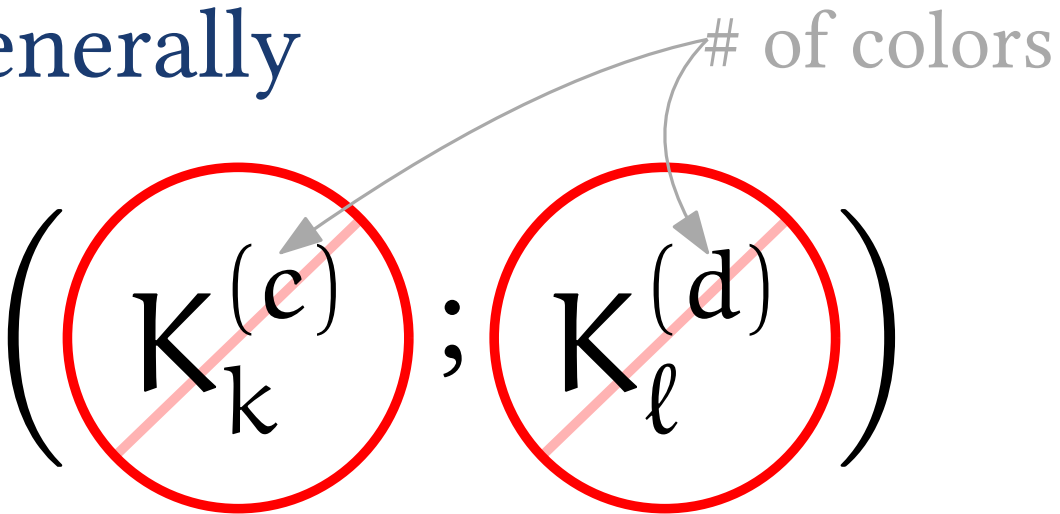
$\text{NAE}_\ell^{(d)}$

$$H = (V; E')$$

hyperedge $\{x_1, \dots, x_\ell\}$



More generally



$$\left\{ (a_1, \dots, a_\ell) \in [c]^\ell \mid \forall \mathbf{a} \, \#\{i : a_i = \mathbf{a}\} < k \right\}$$

$$\equiv_{\log} \text{PCSP} \left(R_{<k:\ell}^{(c)} ; R_{<\ell:\ell}^{(d)} \right) \quad \text{NAE}_\ell^{(d)}$$

$$G = (V; E)$$

$$H = (V; E')$$

ℓ -clique $\{x_1, \dots, x_\ell\}$



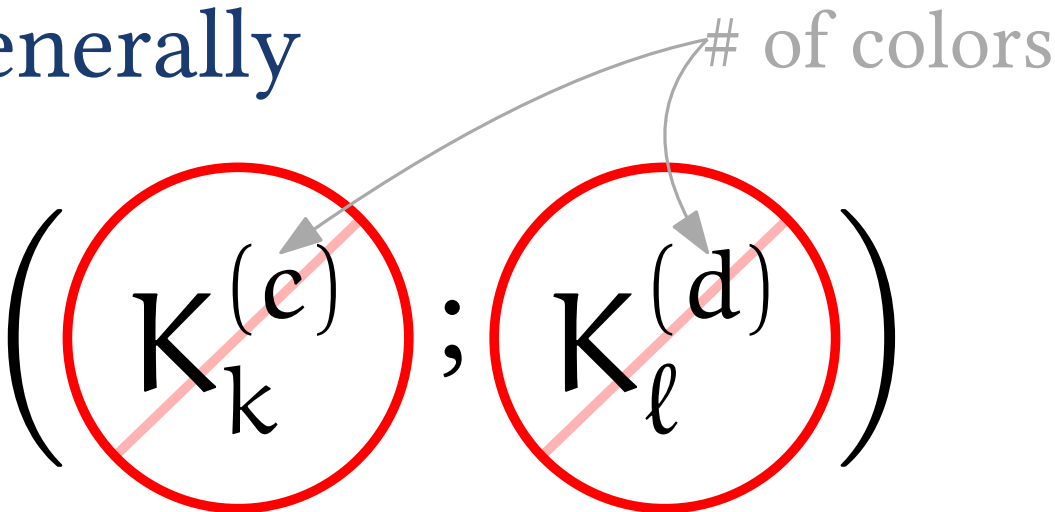
hyperedge $\{x_1, \dots, x_\ell\}$

$K_k^{(c)}$ -free coloring of G

$$=^*$$

$R_{<k:\ell}^{(c)}$ -coloring of H

More generally



$$G = (V; E)$$

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$K_\ell^{(d)}$ -free coloring of G

$$\equiv_{\log}$$



$$=^*$$

$$=^*$$

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$$\parallel$$

$$\text{PCSP}(\mathcal{R}_{< k:\ell}^{(c)}; \mathcal{R}_{< \ell:\ell}^{(d)})$$

$$\text{NAE}_\ell^{(d)}$$

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$\mathcal{R}_{< k:\ell}^{(c)}$ -coloring of H

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Promise coloring graphs avoiding monochromatic cliques

$$\left(\cancel{K_k^{(c)}} ; \cancel{K_\ell^{(d)}} \right)$$

Promise coloring graphs avoiding monochromatic cliques

MMSNP logic

$\exists A, B \forall x_1, \dots, x_k :$

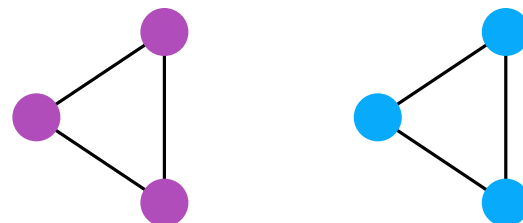
$A(x) \iff \neg B(x)$

$\neg(A(x_1) \wedge \dots \wedge A(x_k) \wedge K_k(x_1, \dots, x_k))$

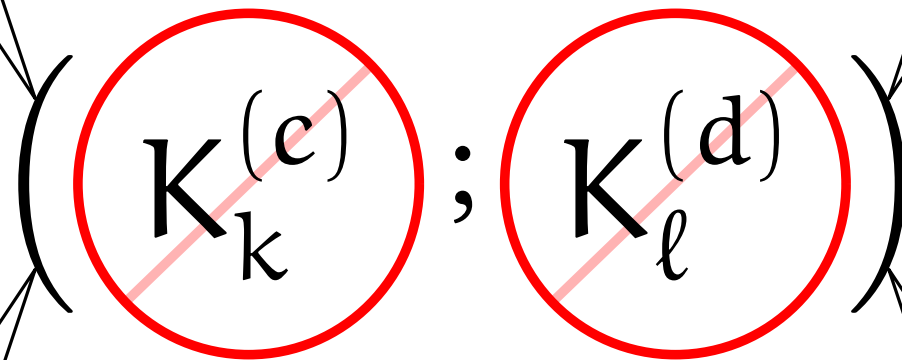
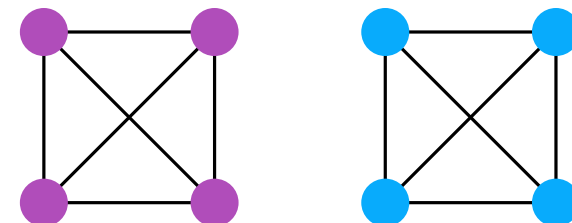
$\neg(B(x_1) \wedge \dots \wedge B(x_k) \wedge K_k(x_1, \dots, x_k))$

Forbidden patterns

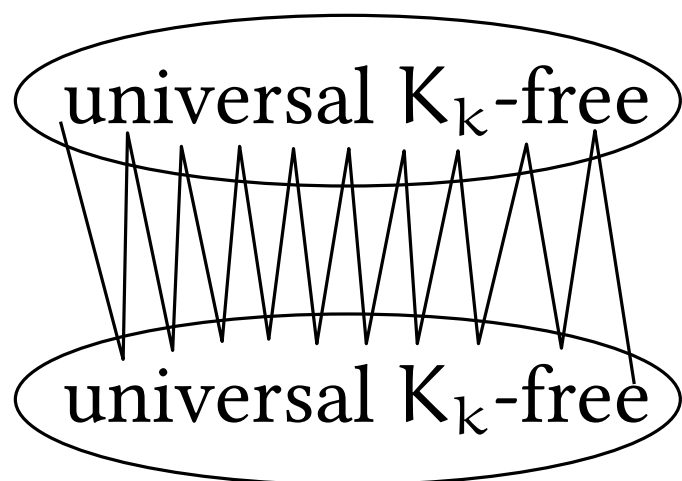
$\exists$ coloring free of



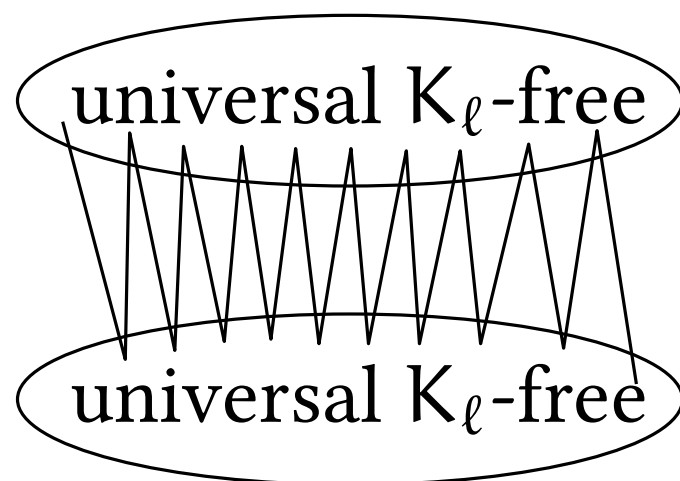
Find a coloring free of



Infinite-domain PCSP



;



Finite-domain PCSP

not expressible

but poly-time equivalent to one

$$\text{PCSP}(\mathbf{R}_{<k:l}^{(c)}; \mathbf{R}_{<l:l}^{(d)})$$

Classification

$$\left(\cancel{K_k^{(c)}} ; \cancel{K_\ell^{(d)}} \right) \equiv_{\log} \text{PCSP}(\mathbf{R}_{< k:\ell}^{(c)}; \mathbf{R}_{< \ell:\ell}^{(d)})$$

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- $\ell \geq c(k-1) \implies$ tractable

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● $\ell \geq c(k-1) \implies$ tractable

$$\left(\underbrace{1, \dots, 1}_{k-1}, \underbrace{2, \dots, 2}_{k-1}, \dots, \underbrace{c, \dots, c}_{k-1}, ? \right)$$

Classification

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$$(\underbrace{1, \dots, 1}_{k-1}, \underbrace{2, \dots, 2}_{k-1}, \dots, \underbrace{c, \dots, c}_{k-1}, ?)$$

$$\ell\text{-uniform } H \longrightarrow \begin{cases} x_1 + \dots + x_\ell = k-1 \\ \dots \end{cases} \longrightarrow \text{solve over } \mathbb{Z} \longrightarrow \begin{matrix} x > 0 \\ x \leq 0 \end{matrix}$$

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Equivalently: $R_{<k:\ell}^{(c)} \longrightarrow (\mathbb{Z}; x_1 + \dots + x_\ell = k - 1) \longrightarrow \text{NAE}_\ell^{(2)} \longrightarrow \text{NAE}_\ell^{(d)} = R_{<\ell:\ell}^{(d)}$

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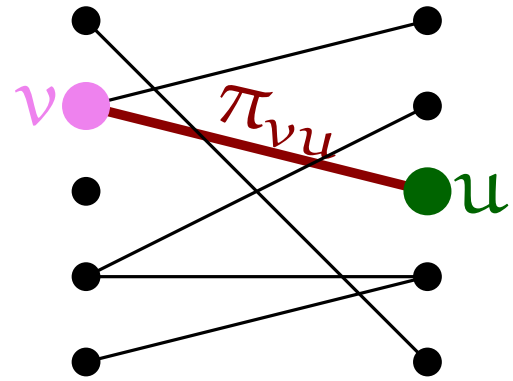
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- $\ell < c(k-1) \implies$ NP-hard, assuming the **Rich 2-to-1 Conjecture** 

- $\ell < c(k - 1) \implies$ NP-hard, assuming the **Rich 2-to-1 Conjecture**



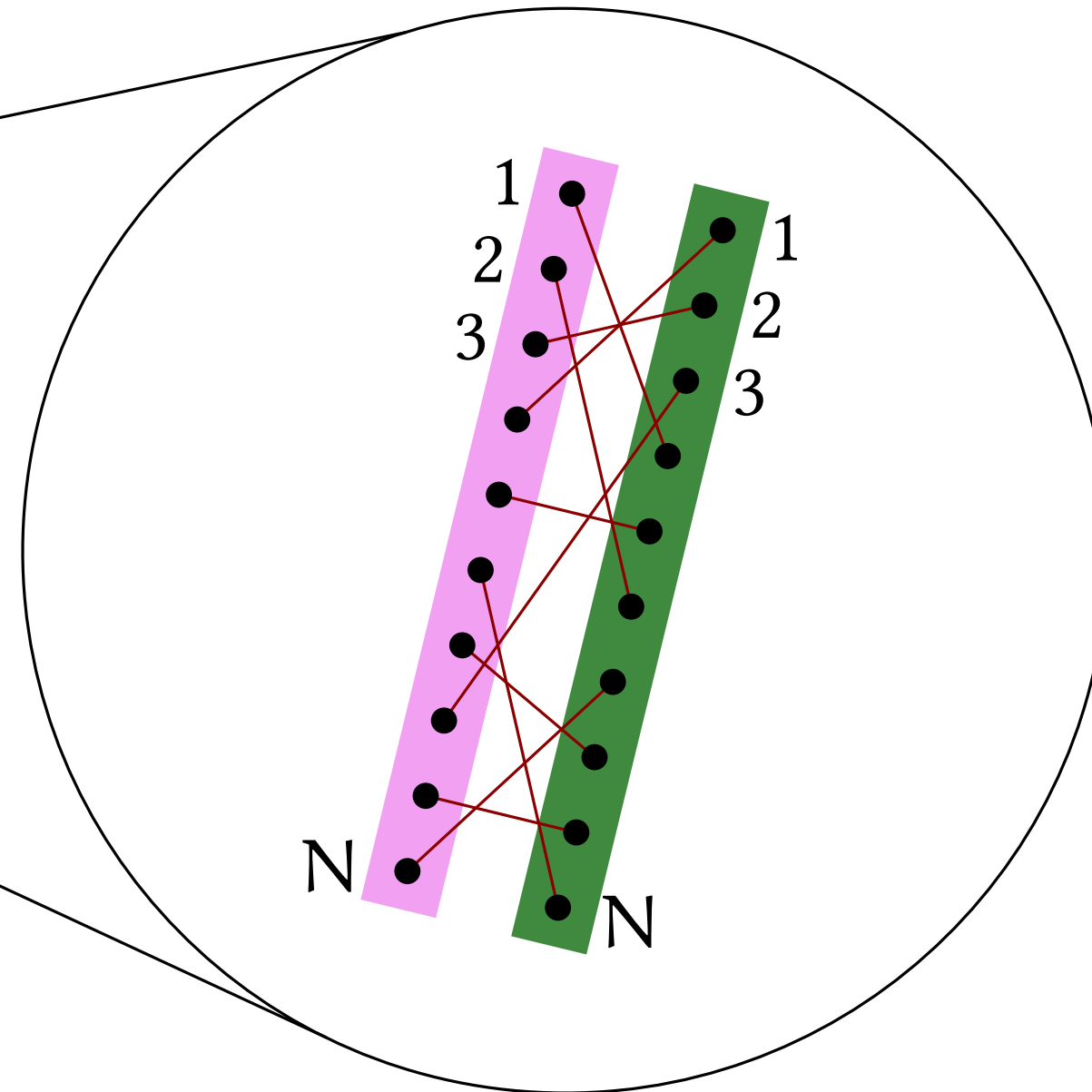
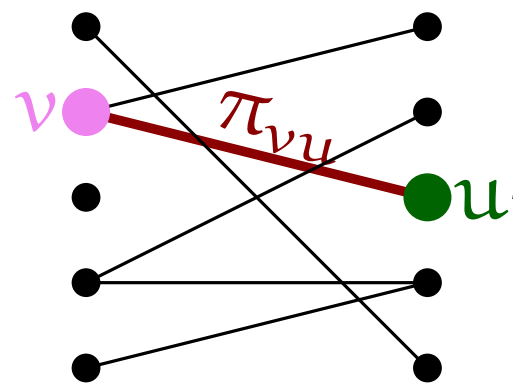
Unique Games



- $\ell < c(k - 1) \implies$ NP-hard, assuming the **Rich 2-to-1 Conjecture**



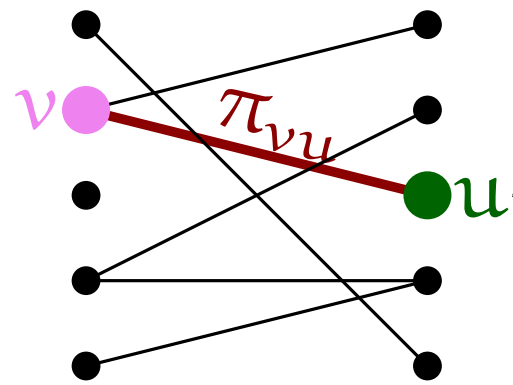
Unique Games



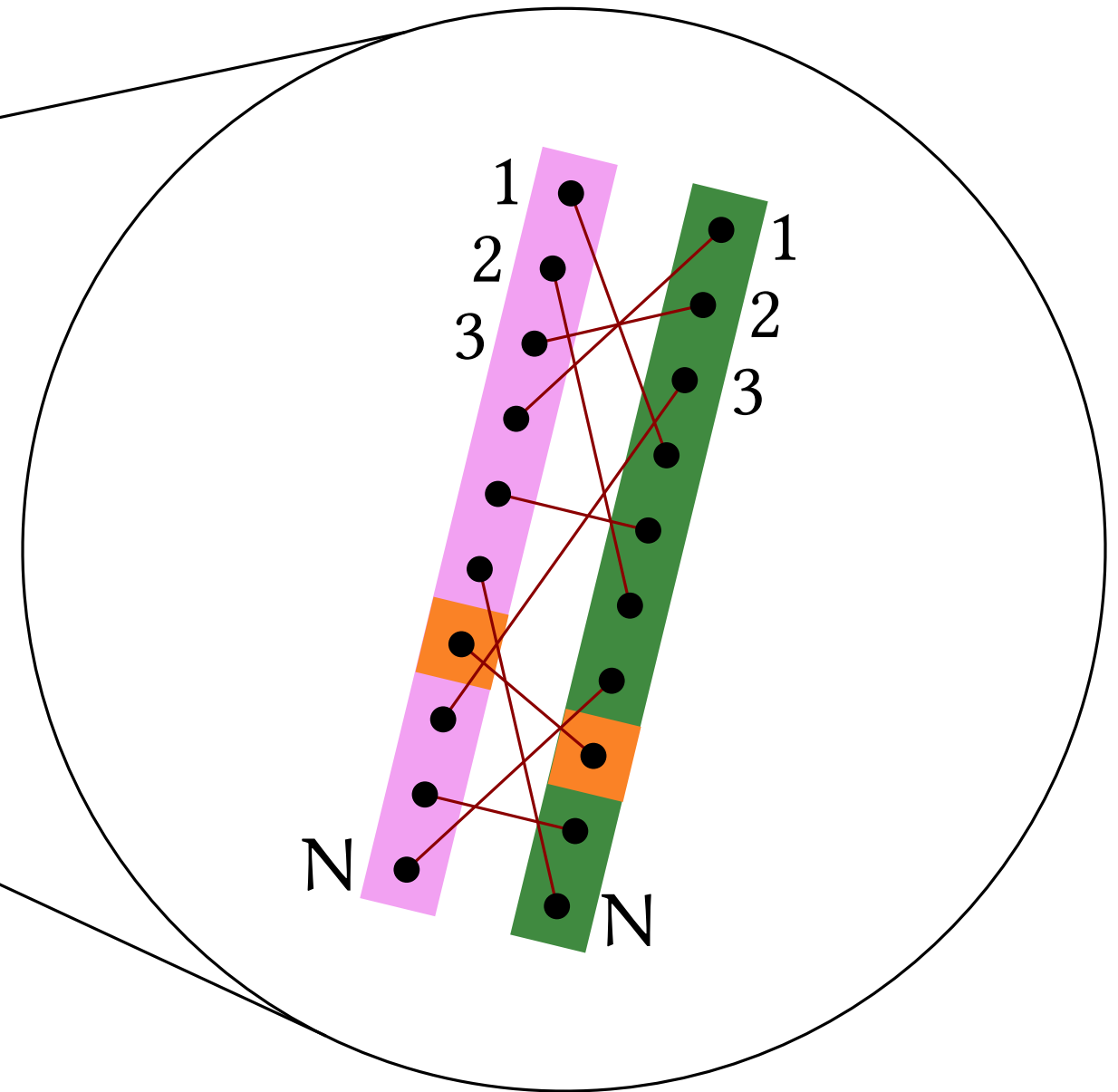
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Unique Games



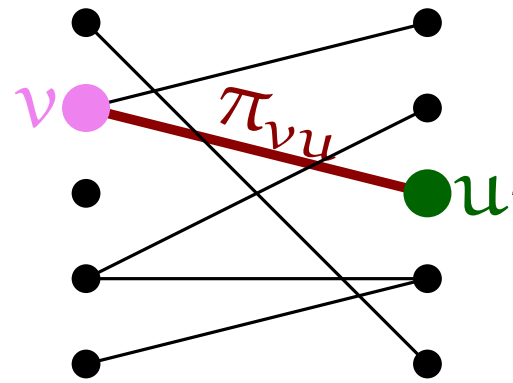
labeling $V \cup U \rightarrow [N]$



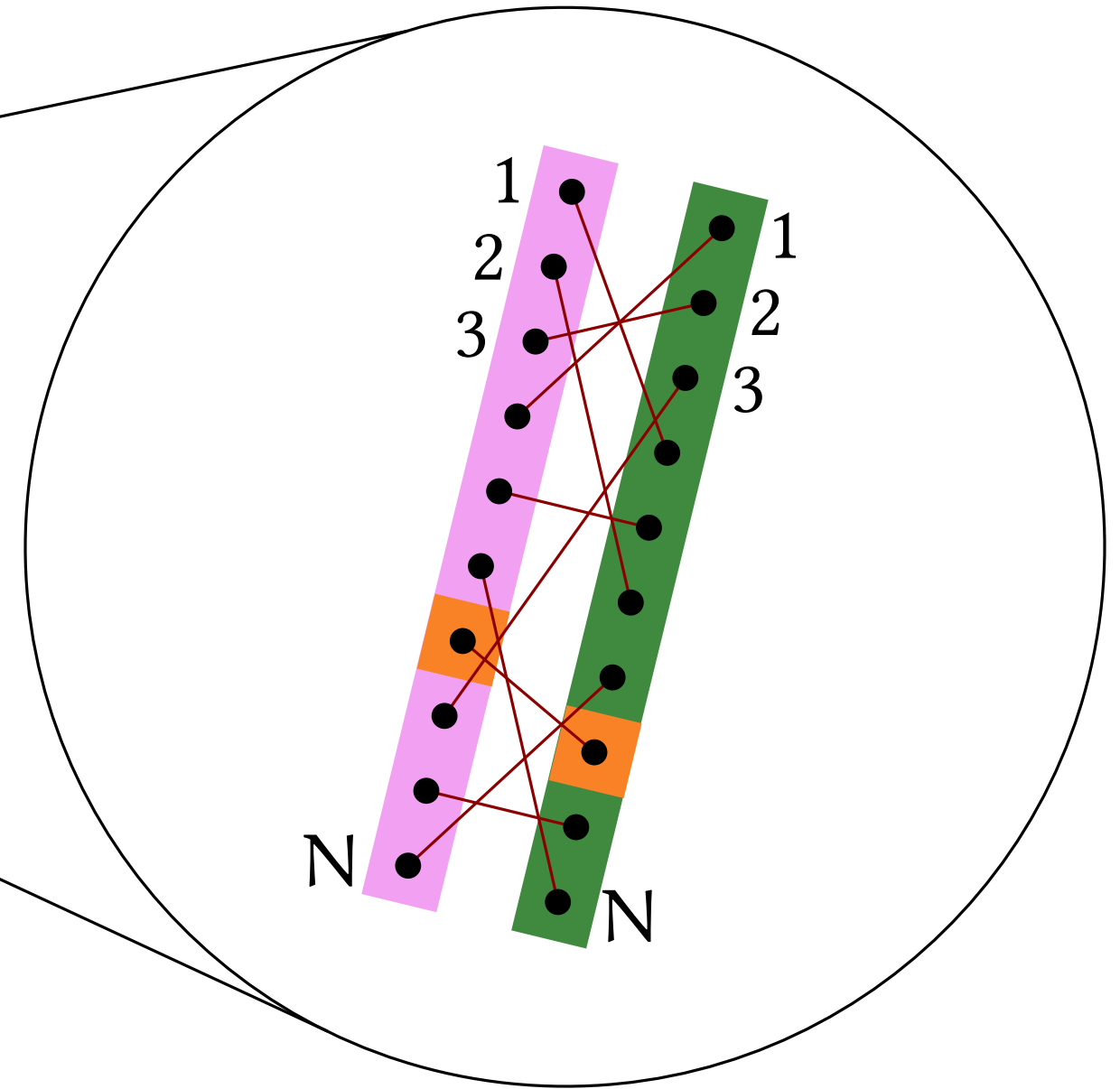
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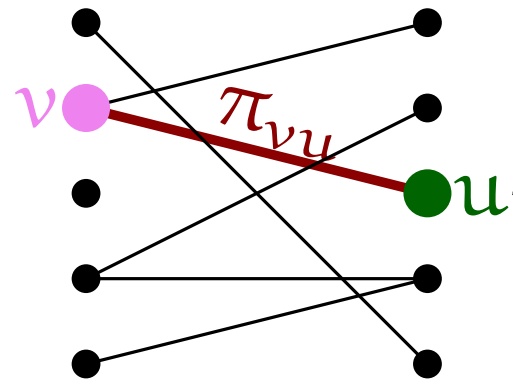
Conjecture: [Khot'02]

NP-hard to approximately solve **unsatisfiable** instances

- $\ell < c(k - 1) \implies$ NP-hard, assuming the **Rich 2-to-1 Conjecture**



Unique Games

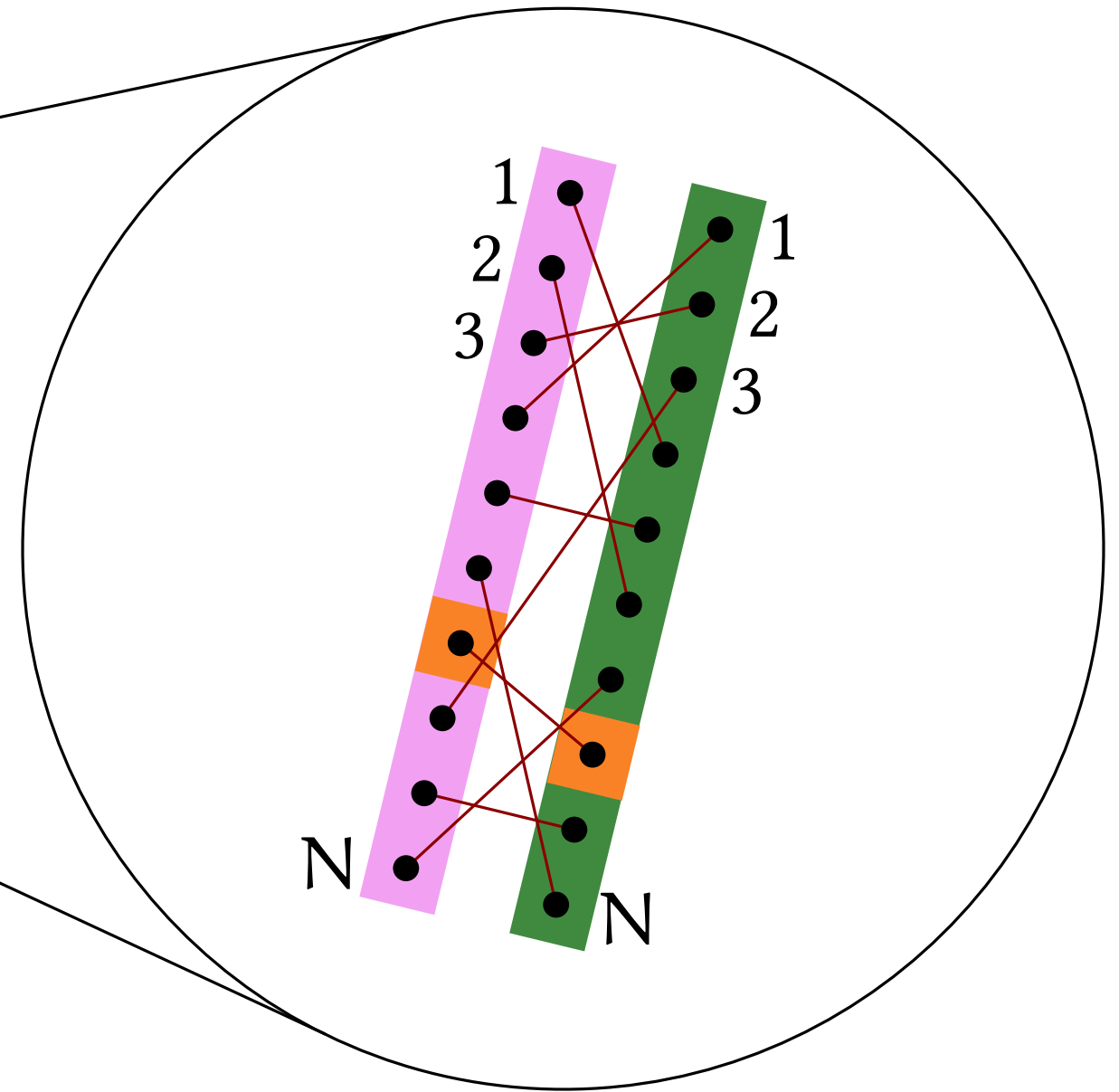


labeling $V \cup U \rightarrow [N]$

Trivial to solve satisfiable

Conjecture: [Khot'02]

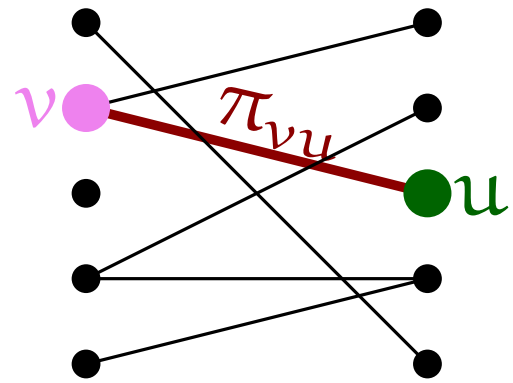
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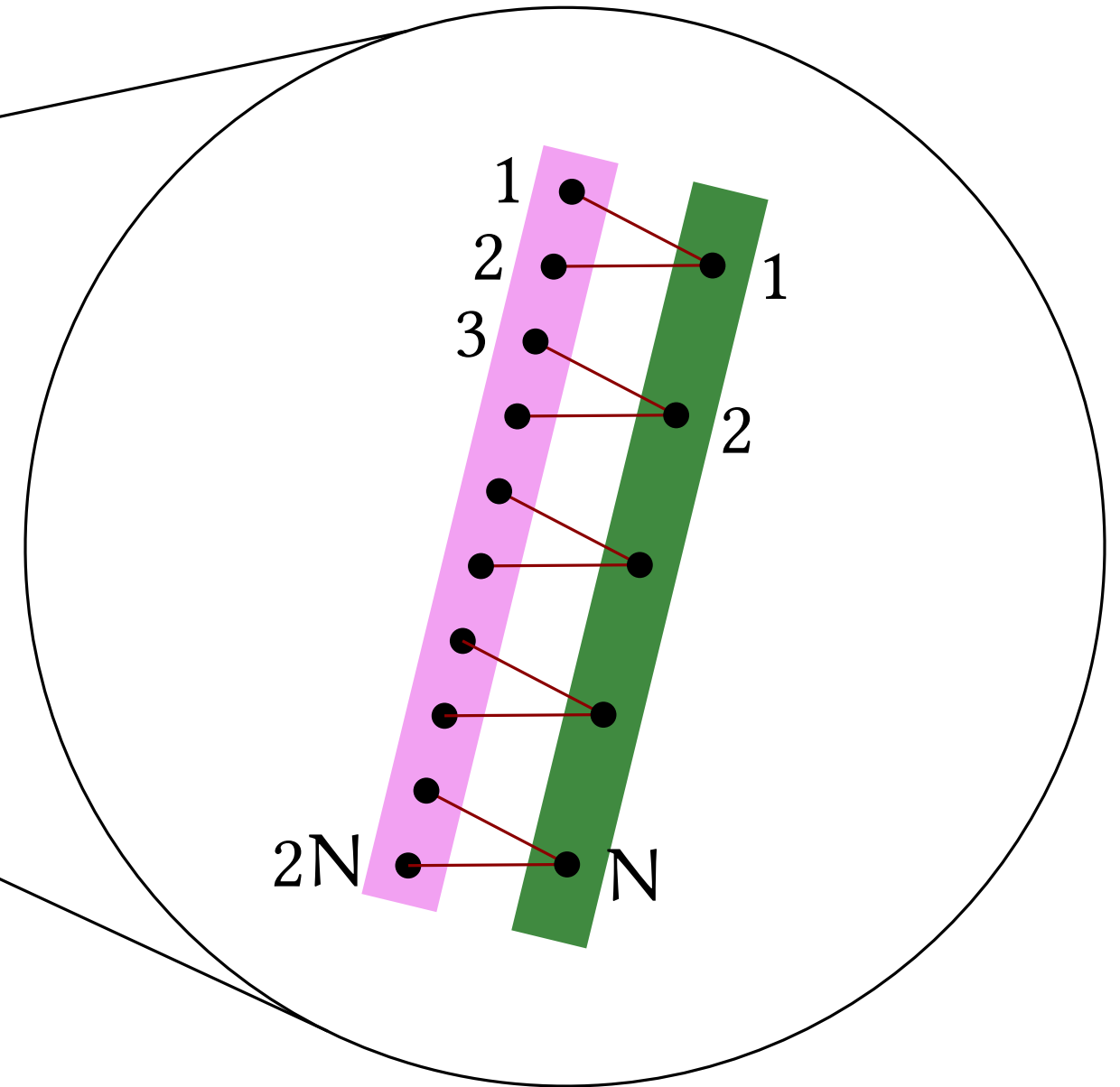
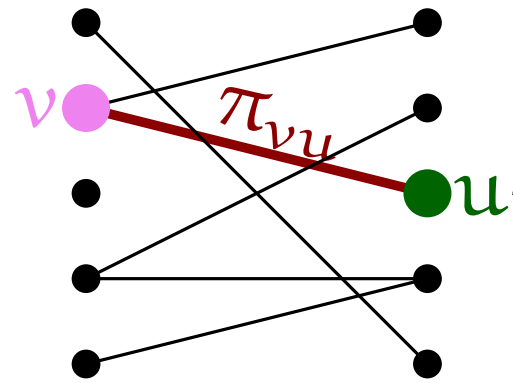
2-to-1 Games



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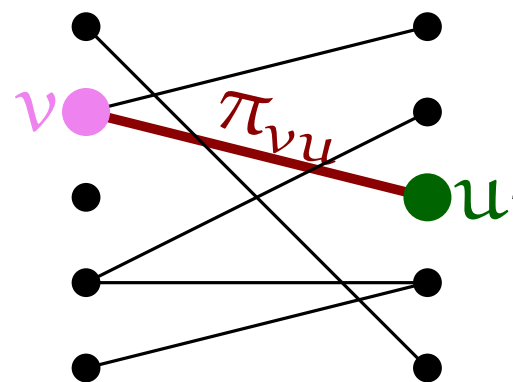
2-to-1 Games



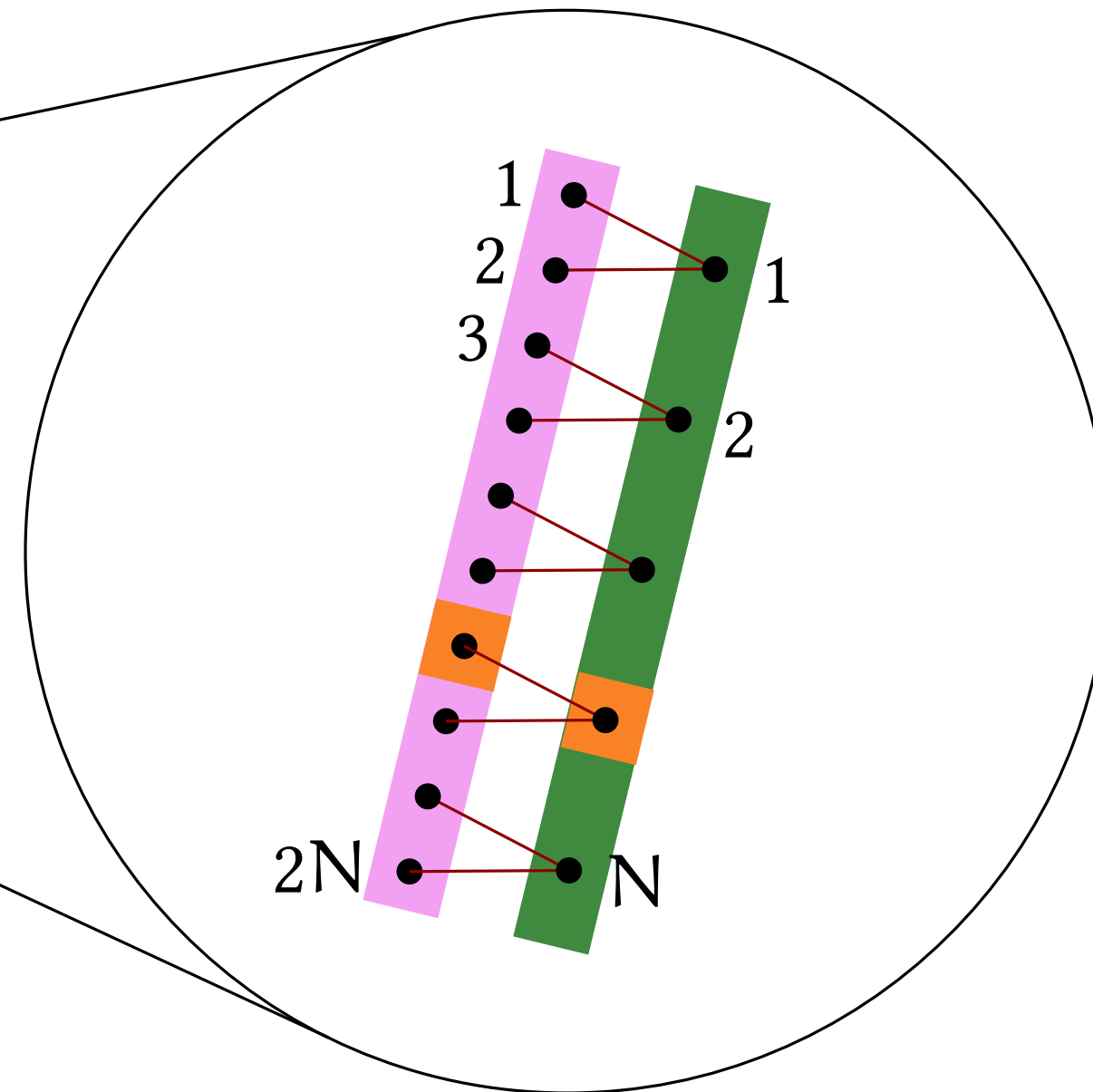
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2-to-1 Games



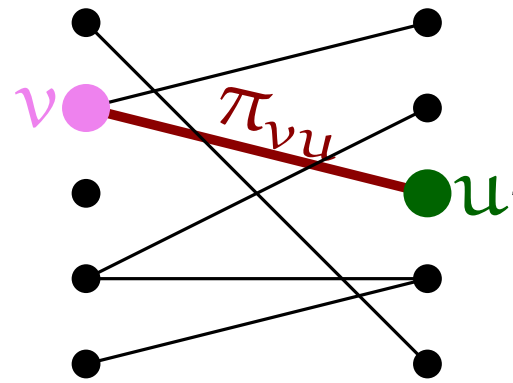
labeling $(V \rightarrow [2N]) \cup (U \rightarrow [N])$



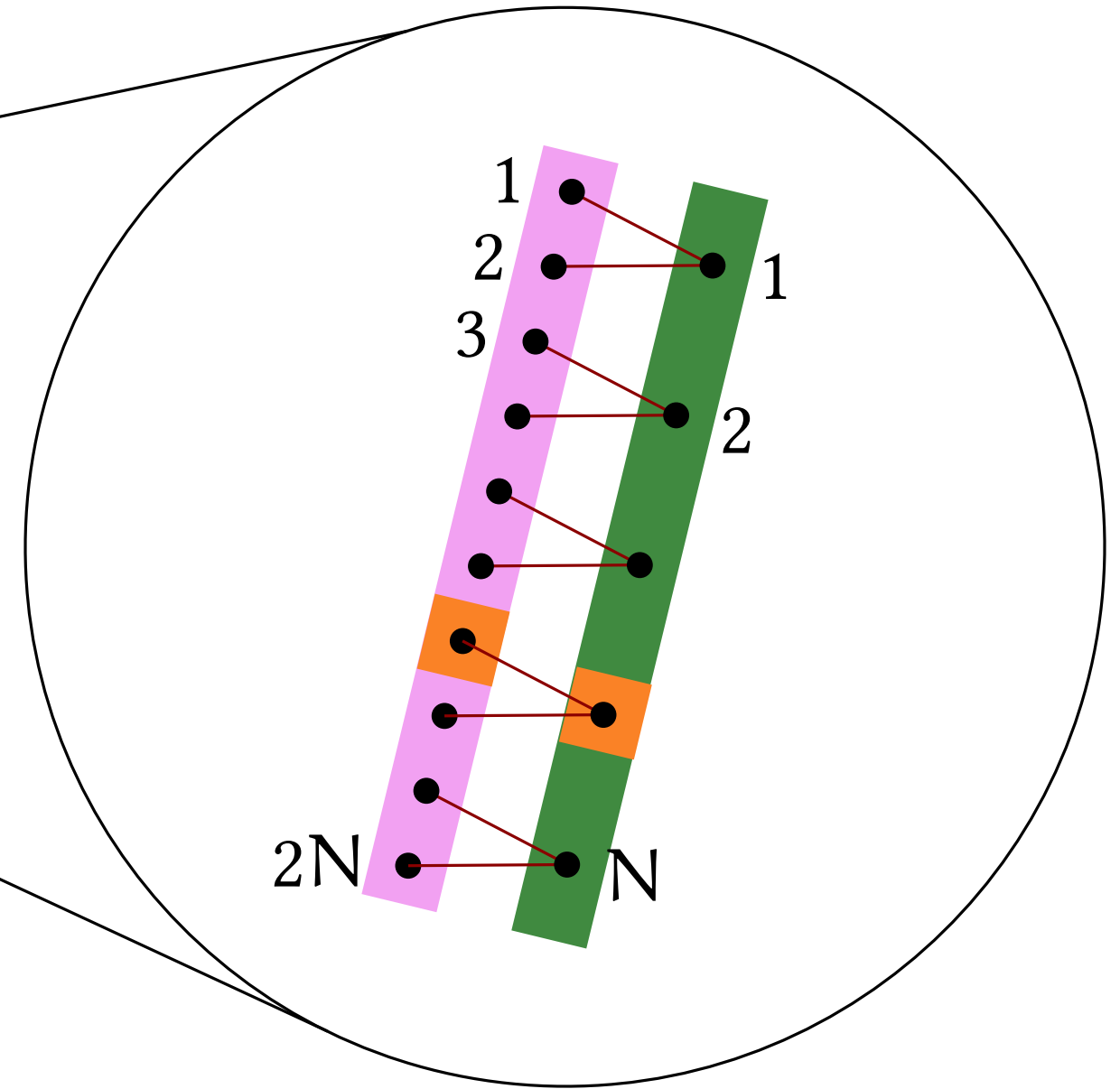
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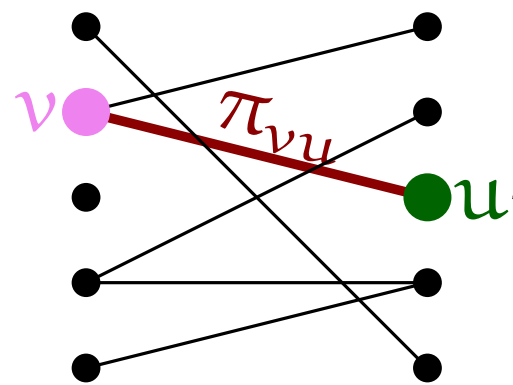
Conjecture: [Khot'02]

NP-hard to approximately solve **any** instances

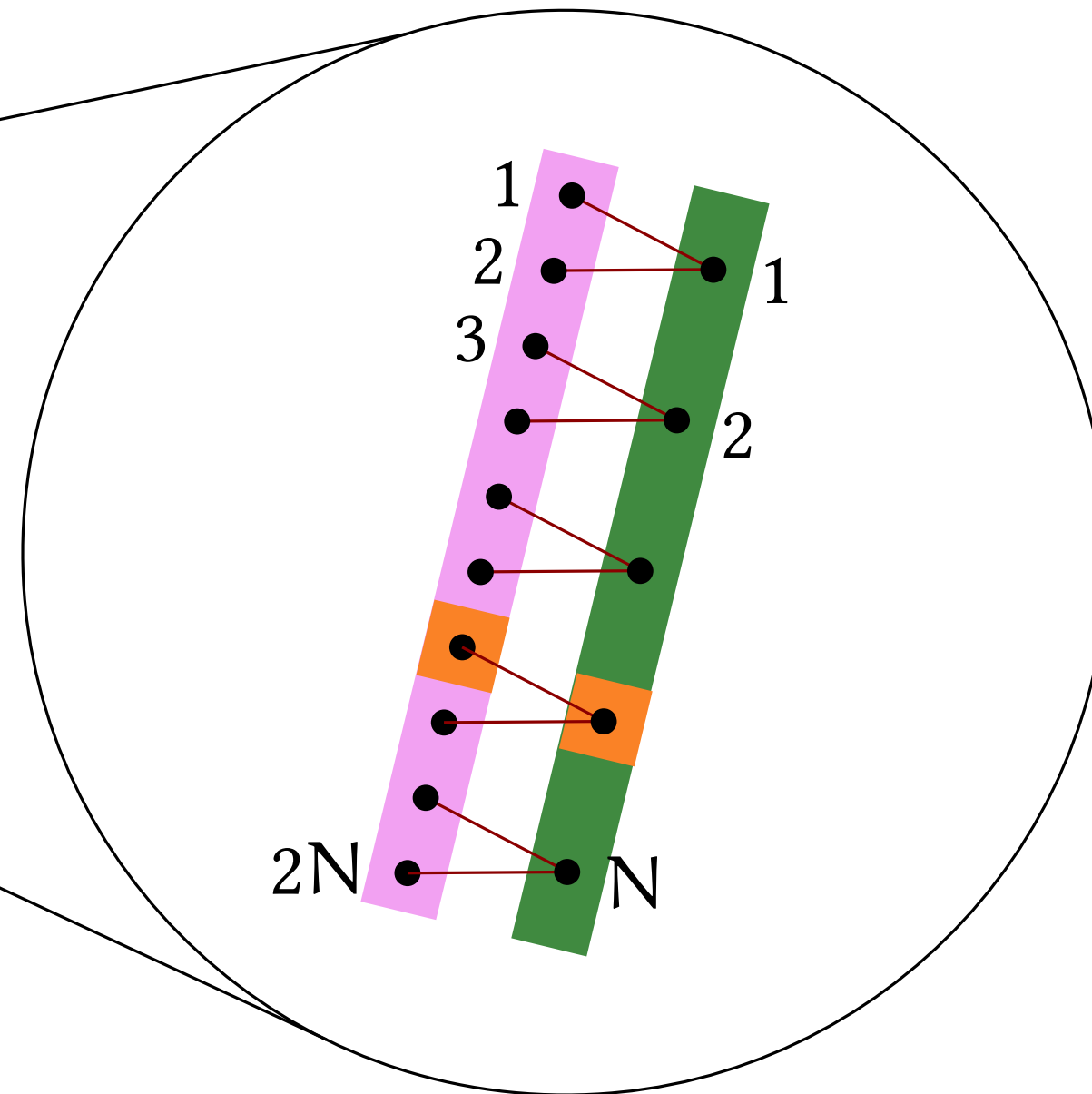
- $\ell < c(k - 1) \implies$ NP-hard, assuming the **Rich 2-to-1 Conjecture**



Rich 2-to-1 Games



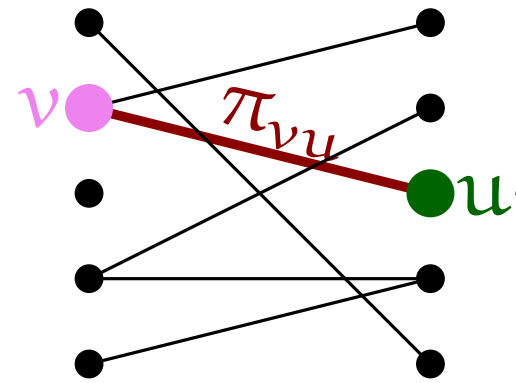
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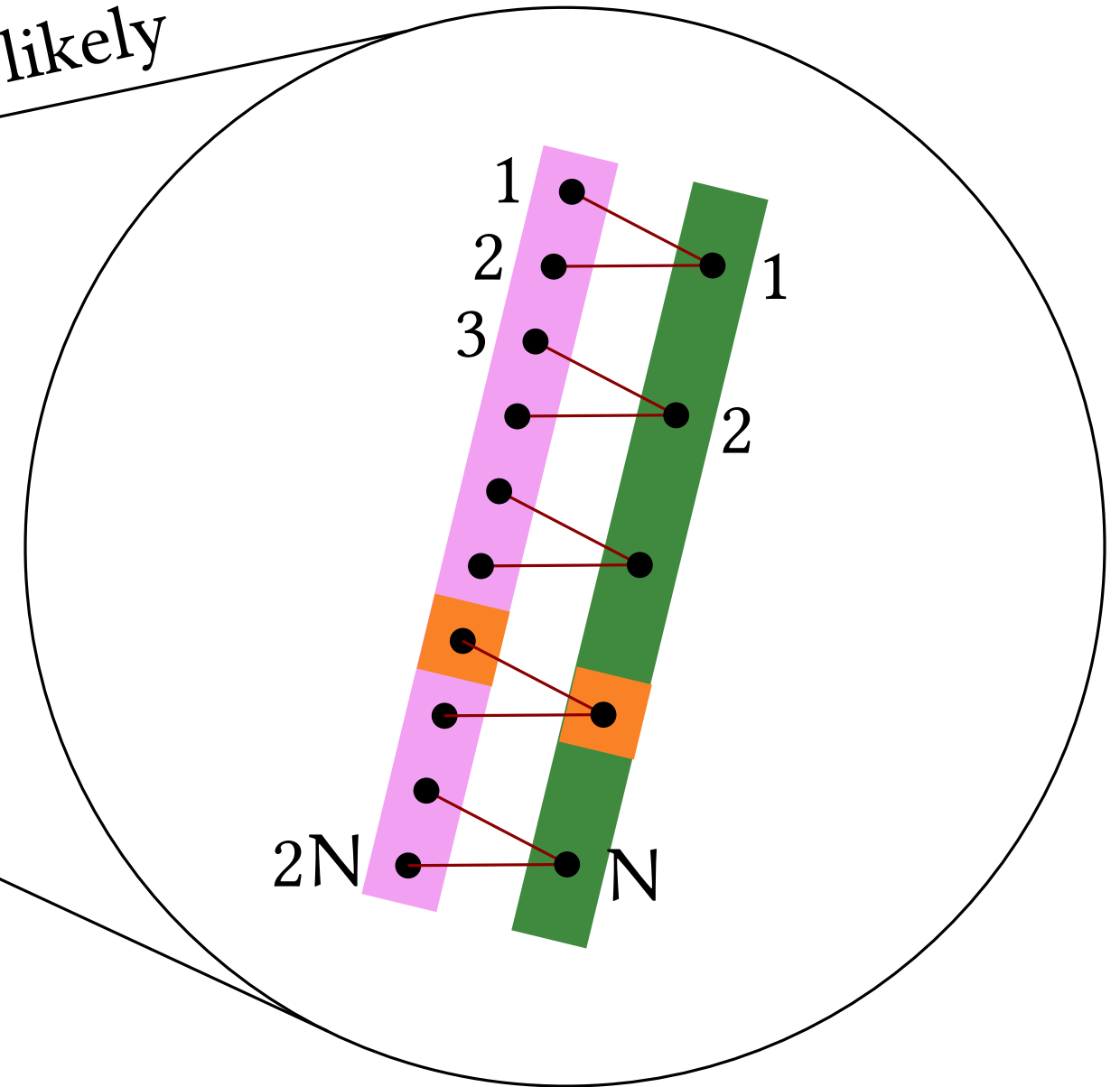


Rich 2-to-1 Games



any pairing of $[2N]$ is equally likely

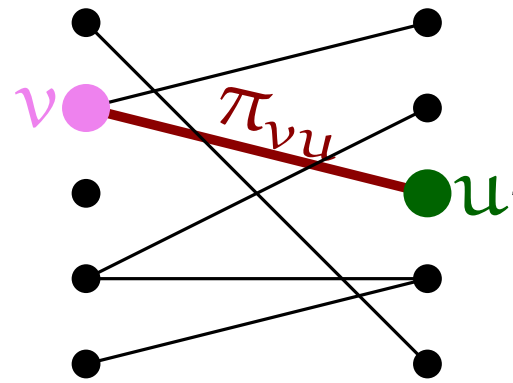
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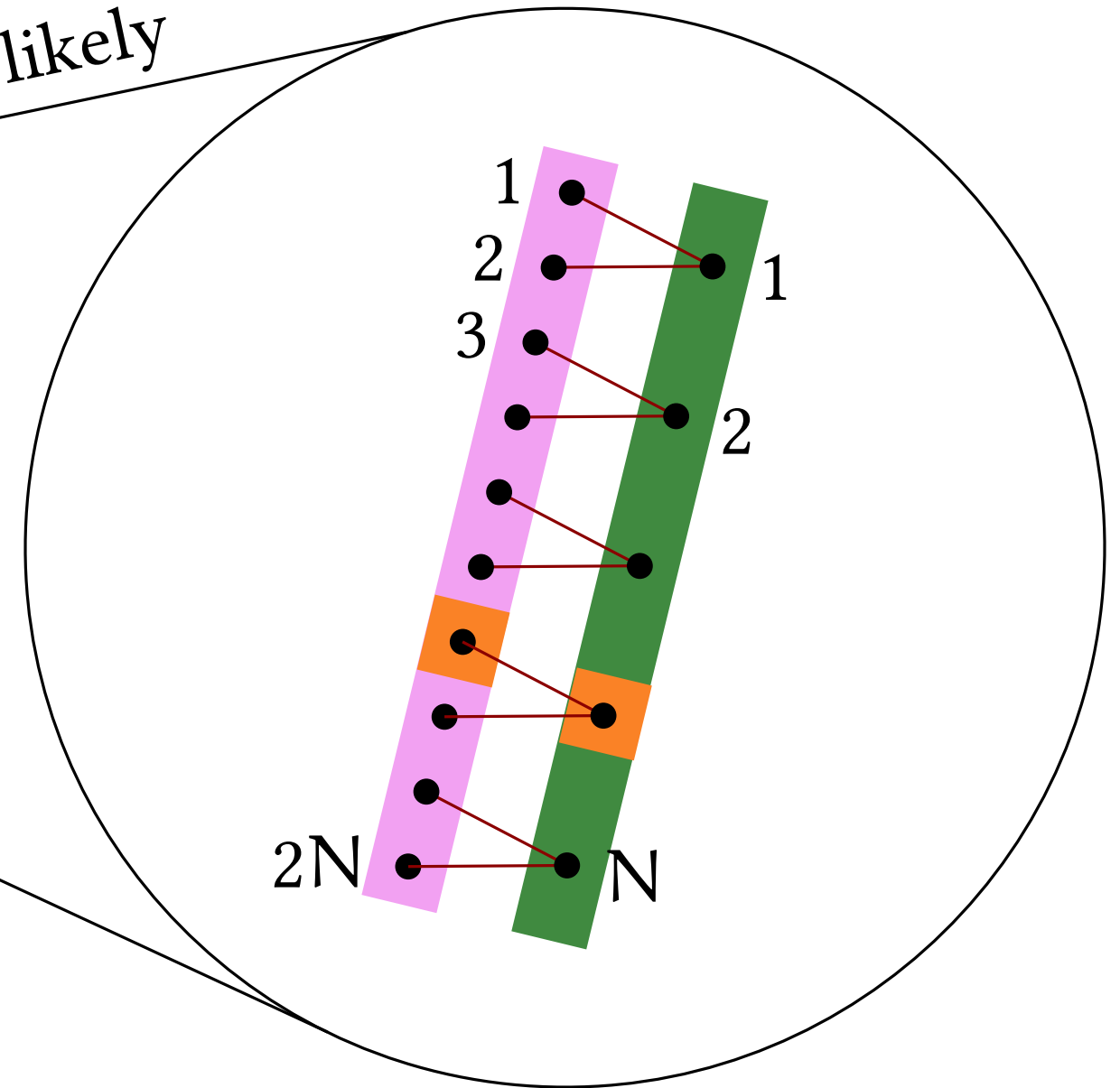


Rich 2-to-1 Games



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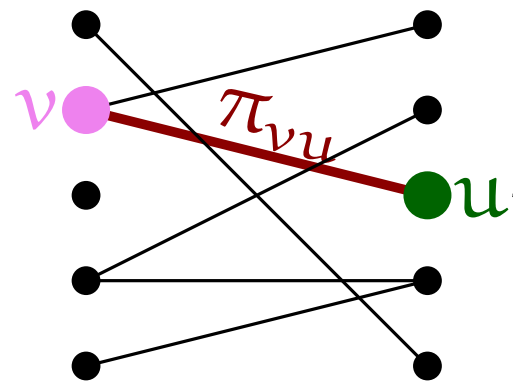
Conjecture: [BKM'21]

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Rich 2-to-1 Games



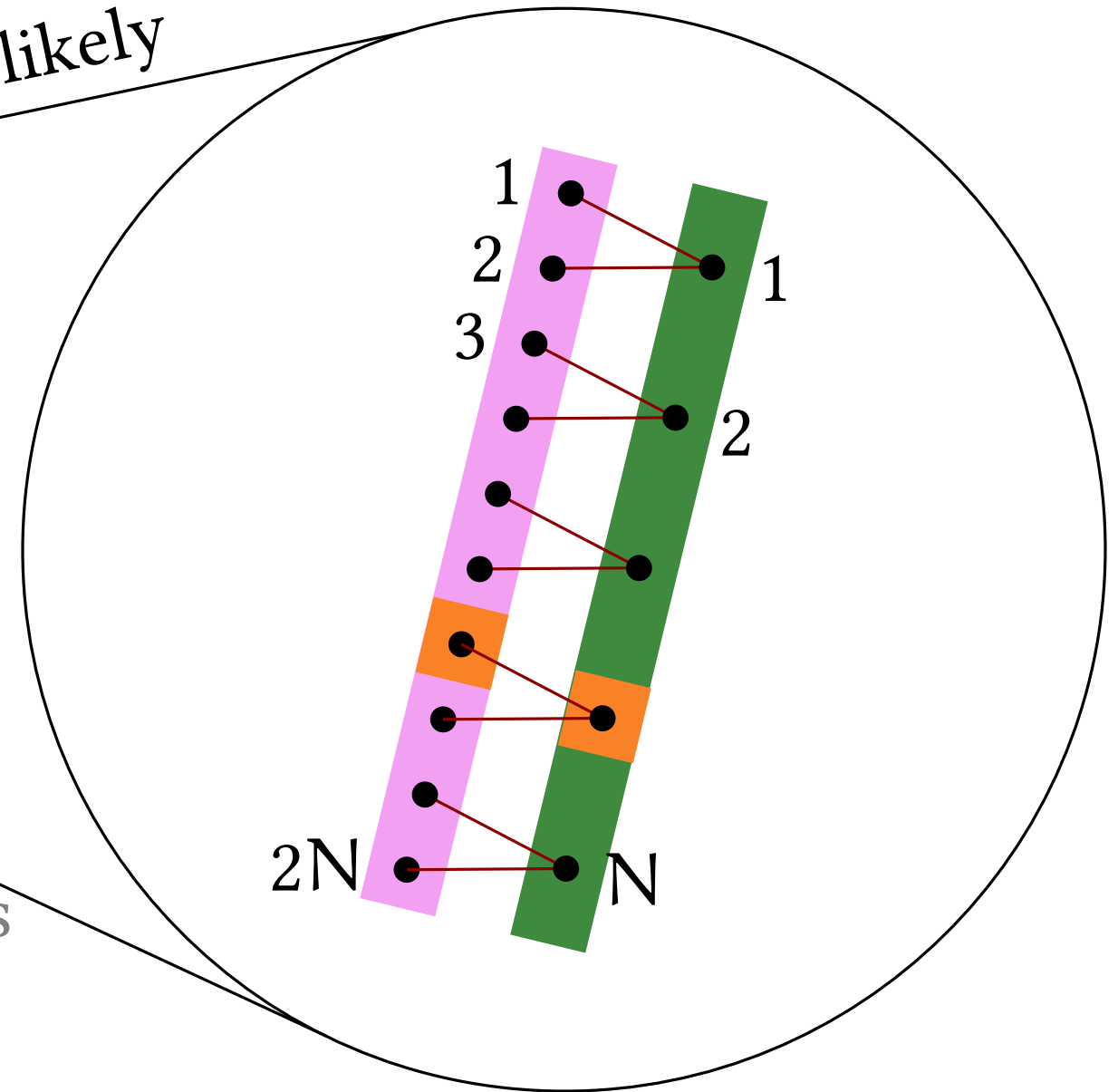
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Equivalent to UGC on **unsatisfiable** instances

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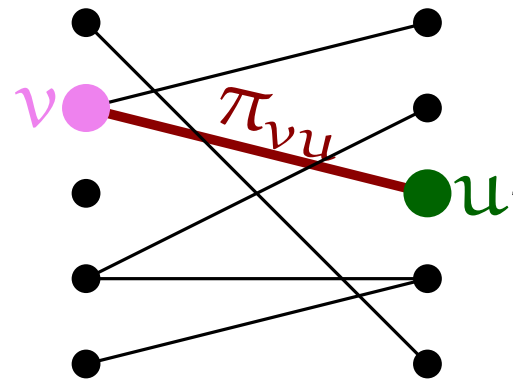
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Rich 2-to-1 Games



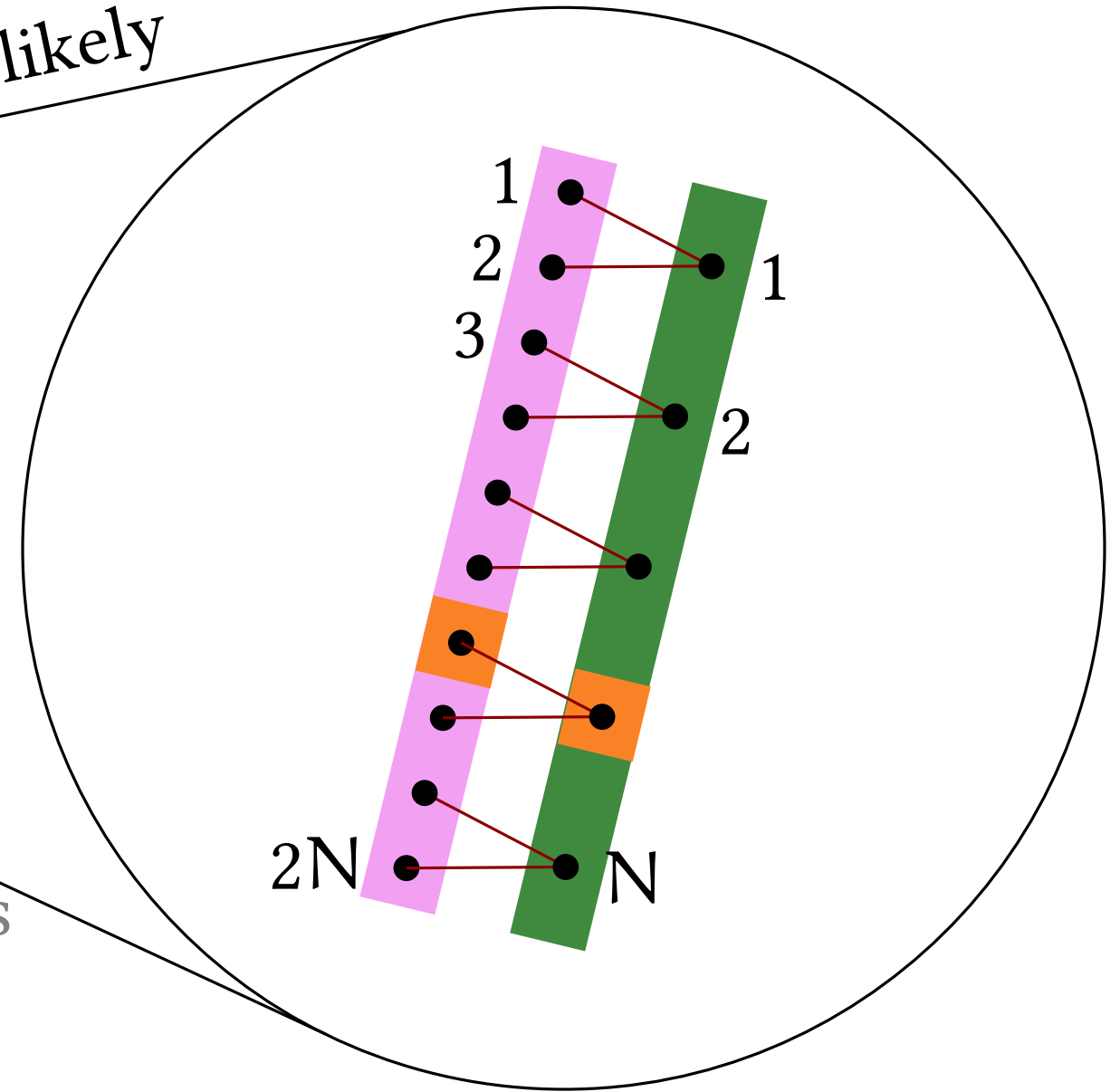
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How does $R_{<k:\ell}^{(c)}$ look like?

$$\underline{R_{<4:5}^{(2)}}$$

$$\underline{R_{<4:5}^{(2)}}$$

11122

$$\underline{R_{<4:5}^{(2)}}$$

11**1**22



1122**2**

$$\underline{R_{<4:5}^{(2)}}$$

11**1**22



1122**2**



1**1**221

$$\underline{R_{<4:5}^{(2)}}$$

11**1**22



1122**2**



1**1**221



122**2**1

$$\underline{R_{<4:5}^{(2)}}$$

11**1**22



1122**2**



1**1**221



122**2**1



12211

$$\underline{R_{<4:5}^{(2)}}$$

11**1**22



1122**2**



1**1**221



122**2**1



12211



22**2**11

$$\underline{\mathbf{R}_{<4:5}^{(2)}}$$

11**1**22



1122**2**



1**1**221



122**2**1



12211



22**2**11



22111

Reconfigurability

$$\underline{\mathcal{R}_{<4:5}^{(2)}}$$



Reconfigurability

$\underline{R^{(2)}_{<4:5}}$

11**1**22



1122**2**



1**1**221



122**2**1



12211



22**2**11



22111

Definition

$R \subseteq A^r$ is **reconfigurable** if

$$\forall \bar{a}, \bar{b} \in R : \bar{a} \dashrightarrow \bar{b}$$

Reconfigurability

$\underline{R_{<4:5}^{(2)}}$

11**1**22



1122**2**



1**1**221



122**2**1



12211



22**2**11



22111

Definition

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Examples:

- K_k for $k \geq 3$
- any undirected non-bipartite connected G
- NAE
- $R_{<k:\ell}^{(c)}$ as long as $\ell < c(k-1)$

Reconfigurability

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11**1**22



1122**2**



1**1**221



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12211



22**2**11



22111

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Non-examples:

- any bipartite graph G
- $1\text{in}3 = \{100, 010, 001\}$, or any “equation” relation
- $R_{<k:c(k-1)}^{(c)}$

Reconfigurability

$$\underline{R_{<4:5}^{(2)}}$$

11**1**22



1122**2**



1**1**221



122**2**1



12211



22**2**11



22111

Definition

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Universal algebra

Fourier analysis

Algebraic topology

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Hardness

Hardness

Main Theorem

extending [BKLM'22]

R **symmetric** and **reconfigurable** $\implies$

$\text{PCSP}(R; \text{NAE})$ is **NP-hard**, assuming the Rich 2-to-1 Conjecture



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Implications:

Hardness

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- Dichotomy for $\left(\cancel{K_k^{(c)}} ; \cancel{K_\ell^{(d)}} \right) \equiv_{\log} \text{PCSP}(R_{<k:\ell}^{(c)}; R_{<\ell:\ell}^{(d)})$

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implied by [BKLM'22]

- (Brakensiek-Guruswami conjecture) Approximate Graph Homomorphism $\text{PCSP}(G; H)$

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- (Brakensiek-Guruswami conjecture) Approximate Graph Homomorphism $\text{PCSP}(G; H)$
- (BBB conjecture) Linearly Ordered Coloring of hypergraphs $\text{PCSP}(\text{LO}_\ell^{(c)}; \text{LO}_\ell^{(d)})$ for $2 \leq c \leq d$
 $\parallel$
 $\{ (x_1, \dots, x_\ell) \in [c]^\ell \mid \exists \text{unique max} \}$

Hardness

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PCSP(R ; NAE) is NP-hard, assuming the Rich 2-to-1 Conjecture 

Implications:

- Dichotomy for $\left(\cancel{K_k^{(c)}} ; \cancel{K_\ell^{(d)}} \right) \equiv_{\log} \text{PCSP}(R_{<k:\ell}^{(c)}; R_{<\ell:\ell}^{(d)})$

implied by [BKLM'22]
- (Brakensiek-Guruswami conjecture) Approximate Graph Homomorphism PCSP(G ; H)
- (BBB conjecture) Linearly Ordered Coloring of hypergraphs $\text{PCSP}(\text{LO}_\ell^{(c)}; \text{LO}_\ell^{(d)})$ for $\cancel{2} \leq c \leq d$

$\parallel$
 $\{ (x_1, \dots, x_\ell) \in [c]^\ell \mid \exists \text{unique max} \}$

Open questions

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👉 Beyond monochromatic **cliques**

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👉 **Edge** colorings avoiding monochromatic cliques?

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👉 Understand how **reconfigurability** connects analytical, topological, and algebraic methods